



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification:</b> <b>A61M 37/00, A61B 10/00</b>	<b>A2</b>	<b>(11) International Publication Number:</b> <b>WO 00/74763</b> <b>(43) International Publication Date:</b> 14 December 2000 (14.12.2000)
<b>(21) International Application Number:</b> PCT/US00/15312 <b>(22) International Filing Date:</b> 02 June 2000 (02.06.2000) <b>(30) Priority Data:</b> 09/448,107 23 November 1999 (23.11.1999) US 09/452,979 02 December 1999 (02.12.1999) US 09/453,109 02 December 1999 (02.12.1999) US 60/137,621 04 June 1999 (04.06.1999) US 60/146,200 29 July 1999 (29.07.1999) US <b>(60) Parent Application or Grant</b> GEORGIA TECH RESEARCH CORPORATION [/]; O. PRAUSNITZ, Mark, R. [/]; O. ALLEN, Mark, G. [/]; O. HENRY, Sebastien [/]; O. MCALLISTER, Devin, V. [/]; O. ACKLEY, Donald, E. [/]; O. GUJRAL, Inder-Jeet [/]; O. JACKSON, Thomas [/]; O. PABST, Patrea, L. ; O.		<b>Published</b>
<b>(54) Title: DEVICES AND METHODS FOR ENHANCED MICRONEEDLE PENETRATION OF BIOLOGICAL BARRIERS</b> <b>(54) Titre: DISPOSITIFS ET PROCÉDES PERMETTANT D'AMÉLIORER LA PÉNÉTRATION D'UNE MICROAIGUILLE À TRAVERS DES BARRIÈRES TISSULAIRES</b>		
<b>(57) Abstract</b> <p>Microneedle devices are provided for delivery of drugs across biological tissue and for controlled sampling of biological fluids in a minimally-invasive, painless, and convenient manner. The devices permit 1) drug delivery at clinically relevant rates across or into skin or other tissue barriers, and/or 2) <i>in vivo</i> sensing or withdrawal of biological fluids from the body, particularly from or through the skin or other tissue barriers. Drug delivery devices preferably include a plurality of hollow microneedles attached to or integrated into a substrate, and at least one reservoir (containing drug) selectively in communication with the microneedles, wherein the amount of drug to be delivered can be selectively altered. Withdrawal or sensing microneedle devices preferably include microneedles attached to or integrated into a substrate, and at least one collection chamber and or sensor in communication with the microneedles. Preferred embodiments further include a means for inducing biological fluid, such as interstitial fluid, to be drawn through the microneedles and into the collection chamber for analysis. Also provided are microneedle devices and methods for the enhanced transport of molecules across tissue by improving the interaction of an array of microneedles and a deformable, elastic biological barrier, such as human skin. The devices and methods act to 1) limit the elasticity, 2) adapt to the elasticity, 3) utilize alternate ways of creating the holes for the microneedles to penetrate the biological barrier, other than the simply direct pressure of the microneedle substrate to the barrier surface, or 4) combination of 1)-3).</p>		
<b>(57) Abrégé</b> <p>L'invention concerne des dispositifs à microaiguilles, permettant de distribuer des médicaments à travers un tissu biologique, et de réguler un échantillonnage de fluides corporels de manière appropriée et peu invasive, sans causer de douleur. Ces dispositifs permettent 1) de distribuer un médicament à travers de la peau ou d'autres barrières tissulaires, ou dans celles-ci, à des vitesses cliniquement acceptables, et/ou 2) à détecter <i>in vivo</i> ou à évacuer des fluides biologiques du corps, en particulier à partir de la peau ou d'autres barrières tissulaires, ou à travers celles-ci. Les dispositifs de distribution de médicaments comprennent, de préférence, une pluralité de microaiguilles creuses fixées à un substrat ou incorporés dans celui-ci, et au moins un réservoir (contenant un médicament) en communication fluide sélective avec lesdites microaiguilles, dans lesquelles la quantité de médicament à distribuer est sélectivement modifiée. Les dispositifs de détection ou d'évacuation à microaiguilles comprennent des microaiguilles fixées à un substrat ou incorporés dans celui-ci, et au moins une chambre de collecte et/ou un capteur en communication fluide avec lesdites microaiguilles. Des modes de réalisation préférés comprennent également un organe permettant d'aspirer un fluide biologique, tel qu'un fluide interstitiel, dans les microaiguilles et dans la chambre de collecte pour analyse. L'invention concerne également des dispositifs à microaiguilles et des procédés permettant d'améliorer le transport des molécules à travers un tissu, au moyen d'une meilleure interaction entre un réseau de microaiguilles et une barrière biologique, élastique, déformable, telle que la peau humaine. Ces dispositifs et ces procédés agissent de façon 1) à limiter l'élasticité de la peau, 2) à s'adapter à cette élasticité, 3) à utiliser des manières alternatives autres que la simple pression directe du substrat de microaiguille sur la surface de la barrière, afin de produire des trous permettant la pénétration des microaiguilles dans la barrière biologique, ou 4) à utiliser une combinaison des étapes 1) et 3).</p>		

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
14 December 2000 (14.12.2000)

PCT

(10) International Publication Number  
WO 00/74763 A2

(51) International Patent Classification<sup>7</sup>: A61M 37/00,  
A61B 10/00

(21) International Application Number: PCT/US00/15312

(22) International Filing Date: 2 June 2000 (02.06.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/137,621 4 June 1999 (04.06.1999) US  
60/146,200 29 July 1999 (29.07.1999) US  
09/448,107 23 November 1999 (23.11.1999) US  
09/452,979 2 December 1999 (02.12.1999) US  
09/453,109 2 December 1999 (02.12.1999) US

(71) Applicant: GEORGIA TECH RESEARCH CORPORATION [US/US]; 400 Tenth Street, Centennial Research Building, Atlanta, GA 30332-0414 (US).

(72) Inventors: PRAUSNITZ, Mark, R.; 417 West Howard Avenue, Decatur, GA 30030 (US). ALLEN, Mark, G.; 425 Spalding Drive, Atlanta, GA 30328 (US). HENRY,

Sebastien; 1511 Vinings Parkway, Smyrna, GA 30080 (US). MCALLISTER, Devin, V.; 49 Geddes Street, Holley, NY 14470 (US). ACKLEY, Donald, E.; 2033 Cambridge Avenue, Cardiff, CA 92007 (US). GUJRAL, Inder-Jeet; 111-9 Trowbridge Street, Cambridge, MA 02138 (US). JACKSON, Thomas; 7135 Olivetas Avenue, La Jolla, CA 92037 (US).

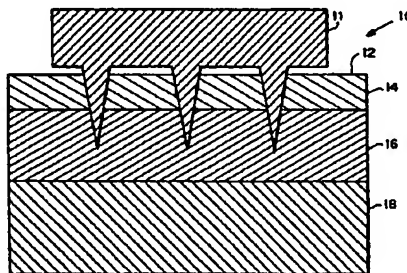
(74) Agents: PABST, Patrea, L. et al.; Arnall Golden & Gregory, LLP, 2800 One Atlantic Center, 1201 West Peachtree Street, Atlanta, GA 30309-3450 (US).

(81) Designated States (*national*): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: DEVICES AND METHODS FOR ENHANCED MICRONEEDLE PENETRATION OF BIOLOGICAL BARRIERS



WO 00/74763 A2 (57) Abstract: Microneedle devices are provided for delivery of drugs across biological tissue and for controlled sampling of biological fluids in a minimally-invasive, painless, and convenient manner. The devices permit 1) drug delivery at clinically relevant rates across or into skin or other tissue barriers, and/or 2) *in vivo* sensing or withdrawal of biological fluids from the body, particularly from or through the skin or other tissue barriers. Drug delivery devices preferably include a plurality of hollow microneedles attached to or integrated into a substrate, and at least one reservoir (containing drug) selectively in communication with the microneedles, wherein the amount of drug to be delivered can be selectively altered. Withdrawal or sensing microneedle devices preferably include microneedles attached to or integrated into a substrate, and at least one collection chamber and or sensor in communication with the microneedles. Preferred embodiments further include a means for inducing biological fluid, such as interstitial fluid, to be drawn through the microneedles and into the collection chamber for analysis. Also provided are microneedle devices and methods for the enhanced transport of molecules across tissue by improving the interaction of an array of microneedles and a deformable, elastic biological barrier, such as human skin. The devices and methods act to 1) limit the elasticity, 2) adapt to the elasticity, 3) utilize alternate ways of creating the holes for the microneedles to penetrate the biological barrier, other than the simply direct pressure of the microneedle substrate to the barrier surface, or 4) combination of 1)-3).

WO 00/74763 A2

W O 0 0 / 7 4 7 6 3 A 2

**Published:**

— Without international search report and to be republished upon receipt of that report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

**Description**

5

10

15

20

25

30

35

40

45

50

55

**DEVICES AND METHODS FOR ENHANCED MICRONEEDLE  
PENETRATION OF BIOLOGICAL BARRIERS**

**Background Of The Invention**

5 This invention is generally in the field of devices for the transport of  
therapeutic or biological molecules across tissue barriers, such as for drug delivery  
or for the withdrawal and sensing of biological fluids, such as in the analysis of  
15 blood glucose levels.

A frequent limitation of drugs and therapeutic agents is their delivery: how to  
10 transport drugs across biological barriers in the body (e.g., the skin, the oral mucosa,  
the blood-brain barrier), which normally do not transport drugs at rates that are  
therapeutically useful or optimal.

Drugs commonly are administered orally as pills or capsules. Many drugs,  
however, cannot be effectively delivered in this manner, due to degradation in the  
25 gastrointestinal tract and/or elimination by the liver. Moreover, some drugs cannot  
effectively diffuse across the intestinal mucosa. Patient compliance may also be a  
problem in therapies requiring, for example, that pills be taken at particular intervals  
over a prolonged time.

Another common technique for delivering drugs across a biological barrier is  
20 the use of a needle, such as those used with standard syringes or catheters, to  
transport drugs across (through) the skin. While effective for this purpose, needles  
generally cause pain; local damage to the skin at the site of insertion; bleeding,  
35 which increases the risk of disease transmission; and a wound sufficiently large to be  
a site of infection. Needle techniques also generally require administration by one  
trained in its use, and are not preferred for frequent routine use due to the vascular  
40 damage caused by repeated punctures, nor are they desirable for long term,  
controlled continuous drug delivery.

Similarly, current methods of sampling biological fluids are invasive and  
suffer from the same disadvantages. For example, the extraction of analytes through  
45 the skin is critical to diabetic patients, who typically must measure blood glucose  
several times per day in order to optimize insulin treatment and thereby reduce the  
severe long-term complications of the disease. Currently, diabetics do this by  
50 pricking the highly vascularized fingertips with a lancet to perforate the skin, then

5 milking the skin with manual pressure to produce a drop of blood, which is then  
assayed for glucose using a disposable diagnostic strip and a meter into which the  
strip fits. This method of glucose measurement has the major disadvantage that it is  
10 painful, so diabetics do not like to obtain a glucose measurement as often as is  
5 medically indicated. It would therefore be highly useful to be able to obtain a  
sample of blood, lymph, or interstitial fluid more quickly, using an easier procedure,  
and relatively noninvasively. It also would be advantageous to be able to repeatedly  
15 or continually extract analyte transdermally over a period of time.

An alternative delivery technique is the transdermal patch, which usually  
10 relies on diffusion of the drug across the skin. However, this method is not useful  
for many drugs, due to the poor permeability (i.e. effective barrier properties) of the  
20 skin. The rate of diffusion depends in part on the size and hydrophilicity of the drug  
molecules and the concentration gradient across the stratum corneum. Few drugs  
have the necessary physiochemical properties to be effectively delivered through the  
25 skin by passive diffusion. Iontophoresis, electroporation, ultrasound, and heat (so-  
called active systems) have been used in an attempt to improve the rate of delivery.  
While providing varying degrees of enhancement, these techniques are not suitable  
for all types of drugs, failing to provide the desired level of delivery. In some cases,  
30 they are also painful and inconvenient or impractical for continuous controlled drug  
20 delivery over a period of hours or days. Some proposed alternatives to the needle  
require the use of (1) lasers or heat to create a hole in the skin, which is  
inconvenient, expensive, or undesirable for repeated use; (2) electric fields or  
35 ultrasound, which also is inconvenient and expensive; or (3) chemical or biological  
penetration enhancing agents, which can be irritating to the tissue and undesirable  
25 for repeated use. Attempts have been made to design alternative devices for active  
transfer of drugs, or analyte to be measured, through the skin.  
40

For example, U.S. Patent No. 5,879,326 to Godshall et al. and PCT WO  
96/37256 by Silicon Microdevices, Inc. disclose a transdermal drug delivery  
apparatus that includes a cutter portion having a plurality of microprotrusions, which  
45 have straight sidewalls, extending from a substrate that is in communication with a  
30 drug reservoir. In operation, the microprotrusions penetrate the skin until limited by  
a stop region of the substrate and then are moved parallel to the skin to create  
incisions. Channels in the substrate adjacent to the microprotrusions allow drug  
50

5 from the reservoir to flow to the skin near the area disrupted by the  
microprotrusions. Merely creating a wound, rather than using a needle which  
conveys drug through an enclosed channel into the site of administration, creates  
10 variability in dosage.

5 U.S. Patent No. 5,250,023 to Lee *et al.* discloses a transdermal drug delivery  
device, which includes a plurality of needles having a diameter in the range of 50 to  
400  $\mu\text{m}$ . The needles are supported in a water-swellaable polymer substrate through  
15 which a drug solution permeates to contact the surface of the skin. An electric  
current is applied to the device to open the pathways created by the needles,  
20 following their withdrawal from the skin upon swelling of the polymer substrate.

20 PCT WO 93/17754 by Gross *et al.* discloses another transdermal drug  
delivery device that includes a housing having a liquid drug reservoir and a plurality  
of tubular elements for transporting liquid drug into the skin. The tubular elements  
may be in the form of hollow needles having *inner* diameters of less than 1 mm and  
25 an outer diameter of 1.0 mm.

While each of these devices has potential use, there remains a need for better  
drug delivery devices, which make smaller incisions, deliver drug with greater  
efficiency (greater drug delivery per quantity applied) and less variability of drug  
30 administration, and/or are easier to use. In view of these needs, microneedle devices  
20 have been developed, which are described in PCT WO 99/64580. Certain  
embodiments of the device were found to readily penetrate skin samples in *in vitro*  
experiments, but not always provide uniform or complete insertion of the microneedles  
35 into some areas of the skin *in vivo*, as the stratum corneum and underlying tissues are  
highly deformable and elastic over much of the body:

25 It is therefore an object of the present invention to provide a microneedle  
device for relatively painless, controlled, safe, convenient transdermal delivery of  
40 drugs.

It is a further object of the present invention to provide a microneedle device  
controlled sampling or sensing of biological fluids in a minimally-invasive, painless,  
45 and convenient manner.  
30

It is another object of the present invention to provide methods and devices  
for improving the control of microneedle insertion into the body of a patient.

5

### Summary Of The Invention

10

Microneedle devices are provided for delivery of drugs across or into biological tissue and for controlled sampling of biological fluids in a minimally-invasive, painless, and convenient manner. The microneedle devices permit (1) drug delivery at clinically relevant rates across or into skin or other tissue barriers, and (2) *in vivo* sensing or withdrawal of biological fluids from the body, particularly from or through the skin or other tissue barriers.

15

20

25

30

35

40

45

50

In a preferred embodiment for drug delivery, the devices include a plurality of hollow microneedles, which are attached to or integrated into a substrate, and at least one reservoir selectably in communication with the microneedles, wherein the volume or amount of drug to be delivered can be selectively altered. The reservoir contains the drug to be delivered, and can be formed of a deformable, preferably elastic, material. The device also can include means for compressing the reservoir to drive the drug from the reservoir through the microneedles. The means can include a plunger or osmotic pump. In one embodiment, the reservoir is a syringe or pump connected to the substrate. The device also can include a sealing mechanism to contain the drug in one or more of the reservoirs until it is ready to be delivered or mixed with a liquid carrier. In one embodiment, the sealing mechanism is a fracturable barrier interposed between the reservoir and the substrate. The device also can include a means for providing feedback, such as color change, to the user to indicate that delivery has been initiated and/or completed. In another embodiment, the microneedle device further includes a rate control means, such as a semi-permeable membrane, to regulate the rate or extent of drug which flows through the microneedles.

In a preferred embodiment for withdrawal or sensing, the microneedle device includes one or more microneedles, preferably in a three-dimensional array, a substrate to which the microneedles are connected, and at least one collection chamber and/or sensor in communication with the microneedles. Preferred embodiments further include a means for inducing biological fluid, such as blood, lymph, or interstitial fluid, to be drawn through the microneedles and into the collection chamber for analysis. This induction preferably is accomplished by use of a pressure gradient, which can be created for example by selectively increasing the interior volume of the collection chamber, which includes an elastic or movable

55



5 portion engaged to a rigid base. In a preferred embodiment, the microneedle device further comprises means for transduction, storage, transmission, and display of measured values. The microneedle device also preferably includes means for  
10 securing the device to a biological barrier during withdrawal or sensing.

5 The microneedle devices preferably are provided with means for preventing undesired reuse of or contact with the microneedles. These means can include protective packaging, such as a peelable liner that temporarily covers the tips of the  
15 microneedles. The packaging also can be used to shear off the microneedles following their intended use, thereby preventing their reuse.

10 Also provided are microneedle devices and methods of use thereof for the enhanced transport of molecules, including drugs and biological molecules, across tissue by improving the interaction of an array of microneedles and a deformable, elastic biological barrier, such as human skin. The devices and methods act to (1) limit the elasticity, (2) adapt to the elasticity, (3) utilize alternate ways of creating  
20 the holes for the microneedles to penetrate the biological barrier, other than the simply direct pressure of the microneedle substrate to the barrier surface, or (4) combinations of (1)-(3).

30 In preferred embodiments for limiting the elasticity of skin, the microneedle device includes features suitable for stretching, pulling, or pinching the skin to present a more rigid, less deformable, surface in the area to which the microneedles are applied (i.e. penetrate). For example, a vacuum can be applied to the area of the  
35 skin at the site of microneedle application to pull it taut and/or pull the skin onto the tips of the microneedles. Alternatively or in addition, the elasticity of skin can be reduced by applying a thin film or membrane over the skin surface at the site of  
25 application, so as to keep the skin tight, limiting the ability of the skin to stretch at the application site. The microneedles are then pushed through the film or membrane and into the skin.

40 In preferred embodiments for adapting the device to the elasticity of skin, the microneedles of the device include individual extensions or are provided in a curved  
45 three dimensional array, for example, by using a flexible substrate and/or varying the height of the microneedles in the array. In another embodiment, the microneedles are applied to the skin surface at an increased velocity, thereby  
50

5 reducing the time available for the stratum corneum and underlying tissues to deform from contact with the tips or entire length of the microneedles.

10 In a preferred embodiment for creating holes in the skin for microneedles, tiny holes are burned into the skin, for example, by heating of the tips of the  
5 microneedles and/or by using a laser. In another embodiment, a focused blast of high pressure gas is used to create the holes.

#### Brief Description Of The Drawings

15 Figures 1a-e are side cross-sectional views of a method for making hollow microneedles.

10 Figures 2a-g are side cross-sectional views of a method for making a hollow microneedle.

20 Figures 3a-d are side cross-sectional views illustrating a preferred method for making hollow microneedles.

25 Figures 4a-d are side cross-sectional views illustrating a preferred method for making hollow silicon microtubes.

Figures 5a-e are side cross-sectional views illustrating a preferred method for making hollow metal microtubes.

30 Figures 6a-d are side cross-sectional views illustrating a preferred method for making tapered metal microneedles.

20 Figures 7a-d are side cross-sectional views illustrating a method for making tapered microneedles using laser-formed molds.

35 Figures 8a-f are side cross-sectional views illustrating a second method for making tapered microneedles using laser-formed molds.

25 Figure 9 is a side elevational view of a schematic of an embodiment of the microneedle device inserted into undeformed skin.

40 Figures 10a-d are illustrations of microneedle devices having various embodiments of microneedle extensions.

45 Figures 11a-c are cross-sectional views illustrating microneedle devices having curved substrates (11a), varying microneedle height (11b), and linear microneedle arrays (11c).

30 Figures 12a-c are cross-sectional views illustrating preferred microneedle devices inserted into skin with altered elasticity.

5

Figures 13a-c are cross-sectional views illustrating preferred embodiments of microneedle devices which can apply suction to skin at the site of insertion.

10

Figures 14a-c are cross-sectional (14a-b) and perspective (14c) views illustrating preferred embodiments of microneedle devices which stretch the skin at the site of insertion.

5

Figures 15a-c are cross-sectional views illustrating examples of microneedle devices which pinch the skin at the site of insertion.

15

Figure 16 is a cross-sectional view of a preferred embodiment of a microneedle device for use in localized heating of skin.

10

Figure 17 is a cross-sectional view of a preferred embodiment of a microneedle device having a microneedle reinforcing layer.

20

Figures 18a-b are cross-sectional views of a preferred embodiment of a microneedle device having a flexible substrate in an unactivated position (18a) and an activated position (18b).

25

15

Figure 19 is a cross-sectional view a preferred embodiment of a microneedle device having arrays of microneedles with spaces between the arrays.

30

Figures 20a-c are cross-sectional views of preferred embodiments of a microneedle drug delivery device. The device of Figure 20a includes a reservoir and is suitable for transdermal drug delivery. The device of Figures 20a and 20b includes a deformable reservoir, wherein delivery is activated by manual, e.g., finger or thumb, pressure applied to compress the reservoir directly (20b) or indirectly (20c).

35

25

Figure 21 is a cross-sectional view of another preferred embodiment of a microneedle drug delivery device, wherein delivery is activated by manual pressure applied via a plunger to compress the reservoir.

40

Figure 22 is a cross-sectional view of another preferred embodiment of a microneedle drug delivery device, wherein delivery is activated by releasing a compressed spring which forces the plunger to compress the reservoir.

45

30

Figures 23a-b are cross-sectional views of preferred embodiments of a microneedle drug delivery device having a multiple chambered reservoirs.

Figure 24 is a cross-sectional view of a preferred embodiment of the microneedle drug delivery device, which incorporates an osmotic pump to force the drug contents from the reservoir.

50

55

Figure 25 is a cross-sectional view of a preferred embodiment of a microneedle device for fluid withdrawal.

Figure 26 is a cross-sectional view of another preferred embodiment of a microneedle device for fluid withdrawal.

Figures 27a-c are cross-sectional views of preferred embodiments of a microneedle device in which a sensor is included on the external surface of a hollow needle (27a), on the internal bore surface of a hollow needle (27b), and within the pores of a porous, hollow needle (27c).

#### Detailed Description Of The Invention

##### 1. Biological Barriers

The devices disclosed herein are useful in transport of material into or across biological barriers including the skin (or parts thereof); the blood-brain barrier; mucosal tissue (e.g., oral, nasal, ocular, vaginal, urethral, gastrointestinal, respiratory); blood vessels; lymphatic vessels; or cell membranes (e.g., for the introduction of material into the interior of a cell or cells). The biological barriers can be in humans or other types of animals, as well as in plants, insects, or other organisms, including bacteria, yeast, fungi, and embryos. The microneedle devices can be applied to tissue internally with the aid of a catheter or laparoscope. For certain applications, such as for drug delivery to an internal tissue, the devices can be surgically implanted.

In a preferred embodiment, the microneedle device disclosed herein is applied to skin. The stratum corneum is the outer layer, generally between 10 and 50 cells, or between 10 and 20  $\mu\text{m}$  thick. Unlike other tissue in the body, the stratum corneum contains "cells" (called keratinocytes) filled with bundles of cross-linked keratin and keratohyalin surrounded by an extracellular matrix of lipids. It is this structure that is believed to give skin its barrier properties, which prevents therapeutic transdermal administration of many drugs. Below the stratum corneum is the viable epidermis, which is between 50 and 100  $\mu\text{m}$  thick. The viable epidermis contains no blood vessels, and it exchanges metabolites by diffusion to and from the dermis. Beneath the viable epidermis is the dermis, which is between 1 and 3 mm thick and contains blood vessels, lymphatics, and nerves.

5 As used herein, references to using the microneedle devices on "skin" also include using the microneedle devices with other biological barriers unless expressly limited to only skin.

## 10 2. The Microneedle Device

5 The microneedle devices disclosed herein include a substrate, one or more microneedles, and optionally (i) a reservoir for delivery of drugs, (ii) a fluid collection chamber for collection of biological fluid, and/or (iii) a sensor for sensing an analyte, all or any of which (i-iii) can be selectably in communication with at least one microneedle. The devices also can include one or more pumps, sensors, and/or microprocessors to control the interaction of the foregoing. The microneedle device preferably includes penetration enhancing features to alter or adapt to deformable and elastic biological barriers, such as the skin over much of the human body. Preferably, the microneedles are provided as a multi-dimensional array, in contrast to a device with a single microneedle or single row of microneedles. The microneedle device can be adapted to be a single-use, disposable device, or can be adapted to be fully or partially reusable.

15 In one embodiment for delivery of drugs, the microneedle device includes at least three components: a plurality of microneedles; a substrate to which the base of the microneedles are secured or integrated; and at least one reservoir that is selectably in fluid connection with one or more of the microneedles.

### 20 a. Substrate

35 The substrate of the device can be constructed from a variety of materials, including metals, ceramics, semiconductors, organics, polymers, and composites. The substrate includes the base to which the microneedles are attached or integrally formed. A reservoir and/or fluid collection chamber also may be attached to, or integrally formed with, the substrate. The substrate can be adapted to fit a Luer-Lock syringe or other conventionally used drug delivery or fluid withdrawal device that currently uses hypodermic needles as the barrier penetration method. A fluid collection chamber and/or sensor can be attached to the substrate or formed (e.g., as part of the substrate) to communicate directly with the base of the microneedles.

45 In one embodiment of the device, the substrate is formed from a thin, rigid material that is sufficiently stiff so as to force the attached microneedles through the

5 biological barrier in such areas where the barrier resists deformation by the microneedles.

10 In a preferred embodiment of the device, the substrate, and possibly other components, is formed from flexible materials, or have appropriate materials so as to  
5 be flexible, to allow the device to fit the contours of the biological barrier (such as the skin, vessel walls, or the eye) to which the device is applied and to adapt to barrier deformations that may occur when the microneedle device is applied. A  
15 flexible device further facilitates more consistent penetration during use, since penetration can be limited by deviations in the attachment surface. For example, the surface of human skin is not flat due to dermatoglyphics, i.e. tiny wrinkles, and hair,  
10 and is highly deformable. The flexible substrate can be deformed mechanically (for example, using an actuator or simply by fluid pressure) in order to pierce the biological barrier. Conformality of the flexible device to the skin can be further  
20 enhanced by using an external force, such as a uniform pressure, to aid insertion of the microneedles into the biological barrier. However, for some biological barriers  
25 or applications, a rigid substrate may be preferred.

b. Microneedle

30 The microneedles of the device can be constructed from a variety of materials, including metals, ceramics, semiconductors, organics, polymers, and  
20 composites. Representative materials of construction include pharmaceutical grade stainless steel, gold, titanium, nickel, iron, gold, tin, chromium, copper, palladium, platinum, alloys of these or other metals, silicon, silicon dioxide, and polymers.  
35 Representative biodegradable polymers include polymers of hydroxy acids such as lactic acid and glycolic acid polylactide, polyglycolide, polylactide-co-glycolide,  
25 and copolymers with PEG, polyanhydrides, poly(ortho)esters, polyurethanes, poly(butyric acid), poly(valeric acid), and poly(lactide-co-caprolactone).  
40 Representative non-biodegradable polymers include polycarbonate, polymethacrylic acid, ethylenevinyl acetate, polytetrafluoroethylene (TEFLON<sup>TM</sup>), and polyesters.

45 Generally, the microneedles should have the mechanical strength to remain intact for delivery of drugs, or serve as a conduit for the collection of biological  
30 fluid, while being inserted into the skin, while remaining in place for up to a number of days, and while being removed. In embodiments where the microneedles are formed of biodegradable polymers, however, this mechanical requirement is less

5 stringent, since the microneedles or tips thereof can break off, for example in the skin, and will biodegrade. Nonetheless, even a biodegradable microneedle still  
10 needs to remain intact at least long enough for the microneedle to serve its intended purpose (e.g., its conduit function). Therefore, biodegradable microneedles can  
5 provide an increased level of safety, as compared to nonbiodegradable ones. The microneedles generally should be sterilizable using standard methods such as ethylene oxide or gamma irradiation.

15 The microneedles can be formed of a nonporous solid, a porous solid (with or without a sealed coating or exterior portion), or hollow. As used herein, the term  
10 "porous" means having pores or voids throughout at least a portion of the microneedle structure, sufficiently large and sufficiently interconnected to permit passage of fluid and/or solid materials through the microneedle. As used herein, the  
20 term "hollow" means having one or more substantially annular bores or channels through the interior of the microneedle structure, having a diameter sufficiently large  
25 to permit passage of fluid and/or solid materials through the microneedle. The annular bores may extend throughout all or a portion of the needle in the direction of the tip to the base, extending parallel to the direction of the needle or branching or exiting at a side of the needle, as appropriate. A solid or porous microneedle can be  
30 hollow. One of skill in the art can select the appropriate porosity and/or bore features required for specific applications. For example, one can adjust the pore size or bore diameter to permit passage of the particular material to be transported through the microneedle device. The inner surface of the bore of hollow  
35 microneedles can be made rough to enhance cell membrane disruption for those applications in which cell disruption is useful.

25 The microneedles can have straight or tapered shafts. A hollow microneedle that has a substantially uniform diameter, which needle does not taper to a point, is referred to herein as a "microtube." As used herein, the term "microneedle"  
40 includes both microtubes and tapered needles unless otherwise indicated. In one embodiment, the diameter of the microneedle is greatest at the base end of the  
45 microneedle and tapers to a point at the end distal the base. The microneedle can also be fabricated to have a shaft that includes both a straight (untapered) portion and a tapered portion.

5

10

15

20

25

30

35

40

45

50

55

In a preferred embodiment for drug delivery, the microneedles are hollow; that is, each contains at least one substantially annular bore or channel having a diameter large enough to permit passage of a drug-containing fluid and/or solid materials through the microneedle. The bores may be linear, i.e. extend upwardly from needle base to needle tip, or they may take a more complex path, e.g. extend upwardly from the needle base, but then lead to one or more 'portholes' or 'slits' on the sides of the needles, rather than an opening at the needle tip.

The microneedles can be formed with shafts that have a circular cross-section in the perpendicular, or the cross-section can be non-circular. For example, the cross-section of the microneedle can be polygonal (e.g. star-shaped, square, triangular), oblong, or another shape. The shaft can have one or more bores. The cross-sectional dimensions typically are between about 10 nm and 1 mm, preferably between 1 and 500  $\mu\text{m}$ , and more preferably between 10 and 200  $\mu\text{m}$ . The outer diameter is typically between about 10  $\mu\text{m}$  and about 100  $\mu\text{m}$ , and the inner diameter is typically between about 3  $\mu\text{m}$  and about 80  $\mu\text{m}$ .

The length of the microneedles typically is between about 10  $\mu\text{m}$  and 1 mm, preferably between 100 and 1 mm, more preferably between 100 and 500  $\mu\text{m}$ , and more preferably between 150 and 350  $\mu\text{m}$ . An array of microneedles can include a mixture of microneedles having, for example, various lengths, outer diameters, inner diameters, cross-sectional shapes, and spacing between the microneedles. Preferably, the microneedles are sized to avoid or minimize contact with nerve endings in the biological tissue, such as the dermis, thereby eliminating or reducing pain when the microneedles are inserted, for example into the skin.

The microneedles can be oriented perpendicular or at an angle to the substrate. Preferably, the microneedles are oriented perpendicular to the substrate to provide structural strength and to permit ease of insertion into the tissue. An array of microneedles can include a mixture of microneedle orientations, heights, spacings, or other parameters. This variation in an array can be useful, for example, if different microneedles are to provide different sensing or insertion functions.

In embodiments for sensing and/or withdrawal, the microneedles function as a conduit, a sensing element, or a combination thereof. In one embodiment, one or more of the microneedles are coated (if solid, porous, or hollow) and/or at least



5 partially filled (if porous or hollow) with a sensing or diffusion-modifying material.  
In one embodiment, the microneedle can have one or more holes (ports) or slits  
10 positioned on the sides of the shaft of a hollow microneedle having a blunt, open, or  
closed tip. This design may reduce blockage of the conduit, for example, due to  
5 tissue or cells when the microneedles are inserted.

c. Reservoir

15 In some embodiments, particularly those for drug delivery and fluid  
extraction, the microneedle device includes a reservoir in communication, preferably  
selectably so, with the microneedles. The reservoir can be attached to the substrate  
10 by any suitable means. In a preferred embodiment, the reservoir is attached to the  
back of the substrate (opposite the microneedles) around the periphery, using an  
20 adhesive agent (e.g., glue). A gasket may also be used to facilitate formation of a  
fluid-tight seal.

In a preferred embodiment, the reservoir contains drug, for delivery through  
25 the microneedles. The reservoir may be a hollow vessel, a porous matrix, or a solid  
form including drug which is transported therefrom. The reservoir can be formed  
from a variety of materials that are compatible with the drug or biological fluid  
30 contained therein. Preferred materials include natural and synthetic polymers,  
metals, ceramics, semiconductors, organics, and composites. In one embodiment,  
20 the reservoir is a standard syringe.

The microneedle device can include one or a plurality of chambers for  
35 storing materials to be delivered. In the embodiment having multiple chambers,  
each can be in fluid connection with all or a portion of the microneedles of the  
device array. In one embodiment, at least two chambers are used to separately  
25 contain drug (e.g., a lyophilized drug, such as a vaccine) and an administration  
vehicle (e.g., saline) in order to prevent or minimize degradation during storage.  
40 Immediately before use, the contents of the chambers are mixed. Mixing can be  
triggered by any means, including, for example, mechanical disruption (i.e.,  
puncturing or breaking), changing the porosity, or electrochemical degradation of  
45 the walls or membranes separating the chambers. In another embodiment, a single  
30 device is used to deliver different drugs, which are stored separately in different  
chambers. In this embodiment, the rate of delivery of each drug can be  
50 independently controlled.

5

10

In a preferred embodiment, the reservoir should be in direct contact with the microneedles and have holes through which drug could exit the reservoir and flow into the interior of hollow or porous microneedles. In another preferred embodiment, the reservoir has holes which permit the drug to transport out of the reservoir and onto the skin surface. From there, drug is transported into the skin, either through hollow or porous microneedles, along the sides of solid microneedles, or through pathways created by microneedles in the skin.

15

20

25

30

In a preferred embodiment for drug delivery, the reservoir is selectively in connection with the microneedle bore, such that the reservoir contents can flow from the reservoir and out through the microneedle tip, into the target tissue. Typically, it is attached to, or integrated into, the substrate, either integrally (as in a one-piece device) or at the moment of drug delivery (as with a Luer-lock type device). The reservoir is to provide suitable, leak-free storage of the drug composition before it is to be delivered. The reservoir should keep the drug composition free of contaminants and degradation-enhancing agents. For example, the reservoir should exclude light when the drug composition contains photo-sensitive materials, and should include an oxygen barrier material in order to minimize exposure of drugs sensitive to oxidation. Also, the reservoir should keep volatile materials inside the reservoir, for example, to prevent water from evaporating, causing the drug composition to dry out and become undeliverable.

35

40

The drug reservoir can be substantially rigid or readily deformable. The reservoir can be formed from one or more polymers, metals, ceramics, or combinations thereof. In a preferred embodiment, the reservoir includes a volume surrounded by one or more walls, or includes a porous material, such as a sponge, which can retain, for example, the drug liquid until the material is compressed.

45

50

In a preferred embodiment, the reservoir is formed of an elastic material, such as an elastomeric polymer or rubber. For example, the reservoir can be a balloon-like pouch that is stretched (in tension) when filled with a fluid drug composition to be delivered.

The reservoir of a single microneedle device can include a plurality of compartments that are isolated from one another and/or from a portion of the microneedles in an array. The device can, for example, be provided to deliver different drugs through different needles, or to deliver the same or different drugs at

55

5 different rates or at different times (Figure 23a). Alternatively, the contents of the  
different compartments can be combined with one another, for example, by piercing,  
or otherwise removing, a barrier between the compartments, so as to allow the  
10 materials to mix. In a preferred embodiment, one compartment contains a saline  
5 solution or another delivery vehicle, while another compartment contains  
lyophilized drug (Figure 23b). In a preferred embodiment, the reservoir is a  
standard or Luer-Lock syringe adapted to connect to a microneedle array.

15 d. Collection Chamber

In embodiments for extraction, the device preferably includes a fluid  
10 collection chamber. The fluid collection chamber is selectably in connection with  
the microneedle bores or pores, such that a biological fluid can flow from the tissue  
20 surrounding the microneedle, through the microneedle, and into the collection  
chamber. Typically, the collection chamber is attached to, or integrated into, the  
substrate. The chamber should function to contain a biological fluid sample so as to  
25 15 permit analysis within the microneedle device or following transfer to a separate  
analytical device.

The collection chamber can be substantially rigid or readily deformable. The  
collection chamber can be formed from one or more polymers, metals, ceramics,  
30 semiconductor, or combinations thereof. In a preferred embodiment, the collection  
20 chamber contains a porous or absorbent material, such as a sponge, gel, or paper or  
polymeric strip. The material can be permanently contained or removable, and can  
function as a diagnostic element or substrate for use in analytical devices. The  
35 chamber can initially be empty or can contain a gas or one or more reagents in any  
form (e.g., liquid or solid particles). In one embodiment, at least a portion of the  
25 interior walls of the chamber are coated with a reagent for assaying the biological  
fluid.

40 In a preferred embodiment, the collection chamber is formed of an elastic  
material, such as an elastomeric polymer or rubber. For example, the collection  
chamber can be a collapsed balloon-like pouch that expands when the biological  
45 30 fluid is drawn into the collection chamber.

The collection chamber of a microneedle device can include a plurality of  
compartments that are temporarily or permanently isolated from one another and/or  
50 from a portion of the microneedles in an array. The device can, for example, be

5 provided to collect or sense through different needles at different rates or at different  
times into the different compartments. Alternatively, some of the different  
compartments can contain analytical reagents which can be combined with the  
10 biological fluid sample, for example, by piercing, or otherwise removing, a barrier  
5 between the compartments, so as to allow the materials to mix for analysis.

In another preferred embodiment, the collection chamber is adapted to  
receive and use standard glucose sensing strips, which can be loaded into the  
15 microneedle device before, during, or after the biological fluid is extracted.

Two embodiments of the microneedle device are shown in Figures 25-26. In  
10 Figure 25, microneedle device 610 includes substrate 612 from which a three-  
dimensional array of microneedles 614 protrude. As shown, the annular bore of the  
microneedles 614 extends through the substrate 612. The device 610 also includes  
20 fluid collection chamber 616 which is in fluid connection with the microneedles 614.  
Fluid collection chamber 616 includes an access port 618 through which collected  
25 fluid can be withdrawn from collection chamber 616, and/or through which a  
withdrawing force, such as a vacuum, can be applied. In Figure 26, microneedle  
device 620 includes substrate 612 from which a three-dimensional array of  
microneedles 614 protrude, and fluid collection chamber 616, as well as elastic cap  
30 622 and one-way valve 624. The interior of elastic cap 622 is in communication  
20 with, or open to, fluid collection chamber 616.

e. Transport Control Components

The microneedle device also must be capable of transporting material across  
35 the barrier at a useful rate. For example, the microneedle device must be capable of  
delivering drug across the skin at a rate sufficient to be therapeutically useful. The  
25 device may include a housing with microelectronics and other micromachined  
structures to control the rate of delivery either according to a preprogrammed  
40 schedule or through active interface with the patient, a healthcare professional, or a  
biosensor. The rate can be controlled by manipulating a variety of factors, including  
the characteristics of the drug formulation to be delivered (e.g., its viscosity, electric  
45 charge, and chemical composition); the dimensions of each microneedle (e.g., its  
outer diameter and the area of porous or hollow openings); the number of  
microneedles in the device; the application of a driving force (e.g., a concentration  
50 gradient, a voltage gradient, a pressure gradient); and the use of a valve.

5

10

The rate also can be controlled by interposing between the drug in the reservoir and the opening(s) at the base end of the microneedle polymeric or other materials selected for their diffusion characteristics. For example, the material composition and layer thickness can be manipulated using methods known in the art to vary the rate of diffusion of the drug of interest through the material, thereby controlling the rate at which the drug flows from the reservoir through the microneedle and into the tissue.

15

20

Transportation of molecules through the microneedles can be controlled or monitored using, for example, various combinations of valves, pumps, sensors, actuators, and microprocessors. These components can be produced using standard manufacturing or microfabrication techniques. Actuators that may be useful with the microneedle devices disclosed herein include micropumps, microvalves, and positioners. In a preferred embodiment, a microprocessor is programmed to control a pump or valve, thereby controlling the rate of delivery.

25

30

Flow of molecules through the microneedles can occur based on diffusion, capillary action, or can be induced using conventional mechanical pumps or nonmechanical driving forces, such as electroosmosis or electrophoresis, or convection. For example, in electroosmosis, electrodes are positioned on the biological barrier surface, one or more microneedles, and/or the substrate adjacent the needles, to create a convective flow which carries oppositely charged ionic species and/or neutral molecules toward or into the biological barrier. In a preferred embodiment, the microneedle device is used in combination with another mechanism that enhances the permeability of the biological barrier, for example by increasing cell uptake or membrane disruption, using electric fields, ultrasound, chemical enhancers, vacuum viruses, pH, heat and/or light.

40

45

Passage of the microneedles, or drug to be transported via the microneedles, can be manipulated by shaping the microneedle surface, or by selection of the material forming the microneedle surface (which could be a coating rather than the microneedle per se). For example, one or more grooves on the outside surface of the microneedles can be used to direct the passage of drug, particularly in a liquid state. Alternatively, the physical surface properties of the microneedle can be manipulated to either promote or inhibit transport of material along the microneedle surface, such as by controlling hydrophilicity or hydrophobicity.

50

55

5 The flow of molecules can be regulated using a wide range of valves or  
gates. These valves can be the type that are selectively and repeatedly opened and  
closed, or they can be single-use types. For example, in a disposable, single-use  
10 drug delivery device, a fracturable barrier or one-way gate may be installed in the  
5 device between the reservoir and the opening of the microneedles. When ready to  
use, the barrier can be broken or gate opened to permit flow through the  
microneedles. Other valves or gates used in the microneedle devices can be  
15 activated thermally, electrochemically, mechanically, or magnetically to selectively  
initiate, modulate, or stop the flow of molecules through the needles. In a preferred  
10 embodiment, flow is controlled by using a rate-limiting membrane as a "valve."

20 The microneedle devices can further include a flowmeter or other means to  
monitor flow through the microneedles and to coordinate use of the pumps and  
valves.

f. Sensors

25 The sensing/analyzing function can occur in the microneedle or body of the  
device (e.g., in the fluid collection chamber), or external to the device following  
removal of a sample. The sensing device can be in or attached to one or more  
microneedles, integrated into the substrate, or within or in communication with the  
30 fluid collection chamber. Biosensors can be located on the microneedle surface,  
20 inside a hollow or porous microneedle, or inside a device in communication with the  
body tissue via the microneedle (solid, hollow, or porous).

35 In a preferred embodiment, the microneedle device provides a single-use  
collection means. In this design, the microneedle array is used to extract a single  
measurement and then is detached from a reusable base portion, if any, and  
25 disposed.

40 In one embodiment, the microneedle device is adapted to work with  
"laboratory-on-a-chip" devices, such as made by Caliper Technologies, Palo Alto,  
California (*see, e.g.*, U.S. Patent No. 5,852,495 to Parce and U.S. Patent No.  
5,876,675 to Kennedy); Aclara Biosciences, Hayward, California (*see, e.g.*, U.S.  
45 Patent No. 5,858,188 to Soane et al. and U.S. Patent No. 5,883,211 to Sassi et al.);  
30 and Nanogen, San Diego, California (*see, e.g.*, U.S. Patent Nos. 5,605,662 and  
5,632,957 to Heller et al.).

5

*(i). Types of Sensors*

10

Useful sensors may include sensors of pressure, temperature, chemicals, pH, and/or electro-magnetic fields. These microneedle biosensors can include four classes of principal transducers: potentiometric, amperometric, optical, and physiochemical. An amperometric sensor monitors currents generated when electrons are exchanged between a biological system and an electrode. Blood glucose sensors frequently are of this type.

15

Sensing information or signals can be transferred optically (e.g., refractive index) or electrically (e.g., measuring changes in electrical impedance, resistance, current, voltage, or combination thereof). For example, it may be useful to measure a change in the resistance of tissue to an electrical current, wherein different resistances are calibrated to signal that withdrawal has been completed.

20

25

In a preferred embodiment, the microneedle device may include an integrated sensor, such as a chemical sensor, biosensor, or other measurement system to form a complete extraction/ measurement system. The unit can be constructed to function as a closed loop drug delivery unit, including drug delivery means, analyte recovery means, sensing means to measure the analyte, and control means to provide a signal to the drug delivery means. In a preferred embodiment, the unit would include subunits to withdraw fluid and calculate the concentration of glucose, for example, to determine the amount of insulin needed and deliver that amount of insulin.

30

35

The device can include means for assaying the amount of analyte extracted. For example, an assay method that results in a color change could be used. The change in color could be detected using a light beam that enters into a disposable collection chamber through a window on top. The analyte may also be detected in the chamber through the use of an enzyme electrode or biosensor. The analyte sensing system can include enzymes that react with the analyte of interest and either electrochemical or optical transducers that measure the content of reaction. Examples of such enzymes are glucose oxidase and glucose dehydrogenase.

40

45

An example of an enzyme electrode for glucose is a screen-printed electrode on the surface of which is immobilized glucose oxidase and an electron mediator such as ferrocene or its derivatives. Electrons generated by the oxidation of glucose are transferred from glucose oxidase to the electrode via the mediator. Thus, the

50

55

5 concentration of glucose in the analyte solution is proportional to the current generated. Yet another detection mechanism may be used based on near-infrared spectroscopy. In this method, concentration of extracted glucose in a gel is detected  
10 by the absorption of the near-infrared light that passes through the chamber through two windows.  
5

(ii). Microneedle as Sensing Element

15 In one embodiment, the microneedle is adapted to be the sensing element. For example, an assay material, such as glucose oxidase, can be (i) coated onto the external surface of hollow or solid microneedles, (ii) distributed within the pores of  
10 porous microneedles, or (iii) line or fill the bore(s) of hollow microneedles. See Figure 27 which illustrates a cross sectional view of a preferred embodiment of a microneedle device 630 including microneedle 632, attached to substrate 634 and  
20 having hollow bore 636. In Figure 27a, sensor material 638 is coated on the external surface of microneedle 632. In Figure 27b, sensor material 638 is coated on the  
25 internal surface of hollow bore 636. In Figure 27c, sensor material 638 is located within the pores of a porous microneedle 632. Solid microneedles can also hold or contain sensor material as in Figure 27a, or as in Figure 27c if the microneedles are  
30 porous. These various microneedle types and sensors can be used in different combinations within a device array.

20 In this embodiment, the assay material contacts the analyte for which it is selective and undergoes a change, such as an oxidation reaction. This change is communicated, either directly or indirectly, to the user. For example, change may  
35 be indicated optically (change in color or refractive index) or electrically. Alternatively, the change may induce a shift in pH that can be measured and  
25 communicated using conventional techniques. In more complex embodiments, the analyte may be adsorbed to the microneedle or a coating thereon, such that  
40 mechanical sensing can be used, for example, by measuring vibration changes caused by the adsorption.

45 The microneedle may function as a conduit for fluids, solutes, electric charge, light, or other materials. In one embodiment, hollow microneedles can be  
30 filled with a substance, such as a gel, that has a sensing functionality associated with it. In an application for sensing based on binding to a substrate or reaction mediated  
50 by an enzyme, the substrate or enzyme can be immobilized in the needle interior.



5 which would be especially useful in a porous needle to create an integral needle/sensor.

(iii). Sensor Electronics

10 In a preferred embodiment, the sensors are selectively in communication  
5 with an electronics package. The electronics package typically includes a power source (e.g., a battery), as well as electronic hardware and software for the transduction, storage, transmission, and display of measured values. The electronics  
15 package can be selectively fixed to the microneedle device, for example, so that the electronics package can be reused with a new, disposable microneedle device. The  
10 electronics package can include a mechanism for wireless or wire-based transmission of measured values to a remote device for analysis and/or display. The  
20 electronics also may include mathematical manipulation of the sensed data, for example, to average measured values or eliminate outlying datapoints so as to provide more useful measurements.

25 The electronics package also can include software and hardware to initiate or automate the sensing and analysis processes. It may be desirable to design a microneedle device capable of taking multiple measurements to withdraw/sense  
30 samples on a preprogrammed schedule (e.g., periodic or random), for example, to monitor the blood plasma levels of an illegal drug. Such a device could be adapted  
20 for use with a transmitter designed to lock onto the wrist or ankle of a drug offender or other probationer. The device would then be able to randomly test the wearer without his knowledge in order to assess his compliance with orders to abstain from  
35 drug or alcohol use.

In an alternative embodiment, the electronics package includes a device for  
25 controlling the withdrawal or sensing process. For example, sensing could be activated based on elapsed time, body temperature, or in response to an external  
40 trigger (e.g., motion sensor or push-button). The electronics package, for example, could provide substantially instantaneous readings of glucose levels by depressing a button, or in a microneedle device (e.g., adapted to be worn for an extended period  
45 of time), the electronics could it could display a summary of intermittent glucose measurements taken automatically throughout the day. Such a microneedle device  
30 would be highly useful to diabetic patients to routinely monitor their blood glucose levels following insulin dosing, between or following meals, and at other times

5 throughout the day.

Wave guides can be incorporated into the microneedle device to direct light to a specific location, or for detection, for example, using means such as a pH dye for color evaluation. Similarly, heat, electricity, light or other energy forms may be precisely transmitted to directly stimulate, damage, or heal a specific tissue or intermediary (e.g., tattoo removal for dark skinned persons), or diagnostic purposes, such as measurement of blood glucose based on IR spectra or by chromatographic means, measuring a color change in the presence of immobilized glucose oxidase in combination with an appropriate substrate.

10 (iv). Single Unit Multiple Sensing

A single microneedle device can be designed to provide multiple, preferably sequential, measurements. In a preferred embodiment, the device includes a plurality of discrete fluid collection chambers, each of which is in communication with a defined subset of microneedles in an array of microneedles. The sensing unit of the device can sense each of the collection chambers independently. The sensing can be triggered manually or device electronics can be preprogrammed to automatically trigger, for example at specific time intervals. The device can include a means for storing, displaying, or transmitting the multiple measured values as needed.

20 (v). Sensor Calibration

Calibration of the sensor can be accomplished using the concentration of a second analyte or the same analyte measured by another means. The primary analyte can be normalized, lowering extraction to extraction and site to site variability, by the concentration of the second analyte or same analyte from a separate measurement. Normalization may be a linear or non-linear relationship.

In a preferred embodiment for glucose sensing, a reusable sensor, which assays glucose concentration in interstitial fluid, can be calibrated daily by correlating interstitial fluid glucose values with values obtained from glucose measurements obtained from blood, similar to the approach used by Cygnus Inc.'s "Gluco-Watch."

30 g. Attachment Features

A collar or flange also can be provided with the device, for example, around the periphery of the substrate or the base. It preferably is attached to the device, but

alternatively can be formed as an integral part of the substrate, for example by forming microneedles only near the center of an "oversized" substrate. The collar can also emanate from other parts of the device. The collar can provide an interface to attach the microneedle array to the rest of the device, and can facilitate handling of the smaller devices.

In a preferred embodiment, the microneedle device includes an adhesive to temporarily secure the device to the surface of the biological barrier. The adhesive can be essentially anywhere on the device to facilitate contact with the biological barrier. For example, the adhesive can be on the surface of the collar (same side as microneedles), on the surface of the substrate between the microneedles (near the base of the microneedles), or a combination thereof.

The device can be integrated into an adhesive patch or an elastic band, which may be worn, for example, around the user's arm or ankle. The arm band can be conveniently worn by a patient for drug delivery, sampling of biological fluids, or both over a prolonged period of time, such as several hours.

Care must be taken so that any adhesive agent does not plug the bores of hollow microneedles. For example, the adhesive agent can be applied in a liquid solution by flooding the top of the substrate below the tips of the microneedles, such as from the side of an array of microneedles, or by using a three-dimensional printing process. The solvent can then be evaporated from the adhesive agent solution, thereby precipitating or gelling the adhesive agent to yield a tacky surface. An alternate method of keeping the tips free of an applied adhesive agent is to choose materials of construction having a hydrophobicity or hydrophilicity to control the wetting of the surface to the microneedle tips.

Where a vacuum is used to induce fluid flow into the device, it may be necessary to provide means for creating a gas-tight seal around the edge of the device so that air is not drawn in through the microneedles at the biological barrier hole/microneedle shaft periphery. The attachment collar or adhesive material can be adapted to provide this function using techniques common to those skilled in the art. One example is to design the attachment collar to function as a "suction-cup" and wet the interface of the skin and attachment collar with water or another physiologically acceptable sealant.

h. Transdermal Microneedle Device

5

10

15

20

25

30

35

40

45

50

55

Figure 9 is a side elevational view of a schematic of an embodiment of the microneedle device inserted into undeformed skin. The device 10 includes an upper portion or substrate 11 from which a plurality of microneedles 12 protrude. The height of the upper portion 11 is between about 1  $\mu\text{m}$  and 1 cm, and the width of the upper portion is between about 1 mm and 10 cm. The upper portion 11 of the device can be solid or hollow, and may include multiple compartments. In a preferred embodiment for drug delivery, the upper portion 11 contains one or more drugs to be delivered. It is also preferred that the upper portion include one or more sensors and/or an apparatus (e.g., pump or electrode) to drive (provide/direct the force) transport of the drug or other molecules.

The height (or length) of the microneedles 12 generally is between about 1  $\mu\text{m}$  and 1 mm. The diameter and length both affect pain as well as functional properties of the needles. In one embodiment for transdermal applications, the "insertion depth" of the microneedles 12 is less than about 100  $\mu\text{m}$ , more preferably about 30  $\mu\text{m}$ , so that insertion of the microneedles 12 into the skin through the stratum corneum 14 does not penetrate through the epidermis 16 into the dermis 18 (as described below), thereby avoiding contacting nerves and reducing the potential for causing pain. In such applications, the actual length of the microneedles may be longer, since the portion of the microneedles distal the tip may not be inserted into the skin; the uninserted length depends on the particular device design and configuration. The actual (overall) height or length of microneedles 12 should be equal to the insertion depth plus the uninserted length. Other embodiments using sufficiently small microneedles may penetrate into the dermis without causing pain.

The diameter of each microneedle 12 generally is between about 10 nm and 1 mm, and preferably leaves a residual hole (following microneedle insertion and withdrawal) of less than about 1  $\mu\text{m}$ , to avoid making a hole which would allow bacteria to enter the penetration wound. The actual microneedle diameter should be larger than 1  $\mu\text{m}$ , since the hole likely will contract following withdrawal of the microneedle. The diameter of microneedle 12 more preferably is between about 1  $\mu\text{m}$  and 100  $\mu\text{m}$ . Larger diameter and longer microneedles are acceptable, so long as the microneedle can penetrate the biological barrier to the desired depth and the hole remaining in the skin or other tissue following withdrawal of the microneedle is

5 sufficiently small, preferably small enough to exclude bacterial entry. The microneedles 12 can be solid or porous, and can include one or more bores connected to upper portion 11.

10 i. Microneedle Penetration Enhancing Features

5 In a preferred embodiment, the microneedle devices include features which improve penetration of the microneedles into deformable, elastic biological barriers, such as human skin. As used herein, "improved penetration" refers to providing  
15 more uniform, better controlled insertion of microneedles, for example, as compared to manual insertion of a microneedle or array of microneedles into skin without  
10 compensation (in the device design or site preparation or both) for deformation of the skin at the intended site of insertion. This is particularly critical for devices which include a three dimensional array of the microneedles. These features  
20 typically function to (1) adapt to the deformation, (2) limit the deformation, and/or (3) utilize alternate ways of creating the holes in the biological barrier for the  
25 microneedles to enter. These penetration enhancing techniques generally can be used with solid, porous, or hollow microneedles. Examples of penetration enhancing features include the following:

30 (i). Microneedle Extensions

30 In a preferred embodiment for adapting the device to the elasticity of skin, the microneedles of the device include extensions, also called protrusion enhancers. One solution to enhance microneedle penetration would be to make the  
35 microneedles much longer. However, very tall, small diameter microneedles would tend to be structurally fragile. Therefore, a relatively wider, yet tall base is provided between the substrate and the microneedle to increase the length while maintaining  
25 structural integrity of the microneedles. The extensions can be fabricated using the same microfabrication techniques described herein or other conventional fabrication techniques, and typically are made integrally with the substrate and microneedles. The extensions can be of any cross-sectional shape, are typically between about 500  
40  $\mu\text{m}$  and 10 mm in height, and generally are at least about 200  $\mu\text{m}$  in diameter for a single needle/single extension configuration. A single extension can support one or  
45 more microneedles.

50 The extensions also can be designed to function as a penetration stop or limiter, to limit the depth of microneedle penetration.

5

10

Figures 10a-c illustrate several non-limiting embodiments of the extensions. Device 110 includes substrate 112, extension 114, and microneedle(s) 116. The figures show a single needle/single extension configuration (10a), a multiple needle/single extension configuration (10b), and a multiple needle/multiple extension configuration (10c). The microneedle devices can include arrays of microneedles and extensions in essentially any combination and number.

15

20

Figure 10d illustrates an embodiment of a microneedle device 180 having extension 182 terminating in microneedle array 184. The device also includes shoulder 186 and key (cutout) 188, which serve to control stretching of the skin at the site of microneedle insertion to enhance penetration. The skin stretches in key 188 as microneedle device 180 is pushed in, while shoulder 186 simultaneously limits the area of skin being stretched.

*(ii). Optimized Microneedle Spacing*

25

30

In another embodiment to adapt to the deformability of skin, the microneedle device includes arrays of microneedles having spaces between the microneedles or between arrays of microneedles, wherein the spacings permit areas of the skin to fill the spaces in order to enhance microneedle contact with adjacent areas of the skin. The spacing is designed to adapt to the skin's radius of curvature to overcome the penetration problem.

35

Figure 19 illustrates a microneedle device 300 having arrays of microneedles 302 with spaces 304 between the arrays. Spaces 304 have a size between about one and ten times, preferably between about one and two times, the size of the arrays 302. This spacing effect can be achieved using some configurations of the microneedle extensions described above.

40

45

*(iii). Linear and Curved Arrays of Microneedles*

In another embodiment for adapting the device to the elasticity of skin, the microneedles are provided in a curved three dimensional array. For example, the microneedle device can have a rigid substrate which forms a curved, rather than planar, surface. The substrate can be, for example, hemispherical or elliptical. The device, by presenting the needles with a curved surface, can provide improved uniformity of penetration among the microneedles of an array, as illustrated in Figure 11a. This same effect also can be achieved by varying the height of the microneedles in the array having a planar substrate, as shown in Figure 11b.

50

55

5 In a preferred embodiment, two dimensional (i.e. linear) arrays are used. A preferred embodiment of a linear array microneedle device is shown in Figure 11c. Device 220 includes substrate 222, which is formed into a U-shape, and an array of  
10 microneedles 224 at the apex of substrate 222. The substrate/microneedle portion is mounted over the end of a slotted holder 226. Molecules for transport through the microneedles 224 flow through one or more slots 228 positioned at the end of the  
15 slotted holder 226. The device also includes a fitting portion 230 which is integral with or attached to the slotted holder 226. The fitting portion 230 can be, for example, a female Luer lock for attachment to a conventional syringe. The use of a  
20 linear array such as in this device readily deforms the skin at a sharp radius over the tips of the microneedles 224, greatly facilitating their penetration into the skin.

25 In a variation of this embodiment, several linear arrays of microneedles can be combined onto a single fitting portion or holder in order to increase the area of injection. By spacing the arrays on the holder widely enough, the correct skin  
30 deformation can be maintained. The spacing is selected to be sufficient to allow the skin or other barrier to reach a relaxed state in the region between the arrays, thus facilitating the correct deformation over each needle array in a manner independent of other arrays.

35 These microneedle devices are fabricated using or adapting the same microfabrication techniques described herein. In one embodiment, microneedle arrays can be fabricated on flexible substrates, which then are mounted onto rigid spherical, cylindrical, or elliptical surfaces.

*(iv). Flexible Substrates*

40 The substrate also can be flexible so that it deforms with the skin or other barrier upon application of the microneedle array.

45 Figures 18a-b illustrate a preferred embodiment of a microneedle device having a flexible substrate. In this case, device 250 include microneedles 252, which are fabricated on a flexible substrate 254. The substrate is laminated to a preformed membrane bubble 256, such as those which are used as a membrane switch. This  
50 laminated structure is attached to holder 258.

The molecules to be delivered, e.g., drug, are contained in a chamber 260 formed between the membrane bubble 256 and the substrate 254. The molecules are sealed inside the chamber either at the laminating step or are filled after lamination.

5 for example, by injection through the membrane. A sponge or similar device (not shown) may be incorporated into the chamber 260 to contain the molecules before insertion and delivery. The sponge device is compressed by the bubble membrane  
10 as it turns to its downward position (*see* Figure 18b).

5 In operation, the device 250 is pressed against the skin, wherein the holder 258 positions the microneedles 252 against the skin, as shown in Figure 18a. Then, force is applied to the membrane bubble 256, flipping it through its transition state  
15 to the down position. This action applies pressure to the molecules in the chamber 260, expanding the substrate 254 of the microneedle array and pushing the  
10 microneedles 252 through the skin. Subsequently, the pressure forces the molecules through the microneedles 252 and into the skin. The change in pressure upon the  
20 membrane bubble must occur faster and be larger than can be relieved by the flow of molecules through the microneedles, in order to achieve effective delivery.

In an alternative embodiment, the chamber 260 may include an intermediate  
25 septum or equivalent divider (not shown) which can be ruptured or moved to permit the molecules to flow through the microneedles 252. For example, the user applies pressure to the membrane bubble, which pressure first forces the microneedles into the skin, as shown in Figure 18b, and then ruptures the septum to deliver drugs  
30 through the needle and into the skin.

20 (v). High Velocity Insertion

In another embodiment, the microneedles are applied to the skin surface at an increased velocity, thereby reducing the time available for the stratum corneum and  
35 underlying tissues to deform from contact with the tips of the microneedles. The insertion can be by forcing the microneedles into the skin, forcing the skin into the microneedles, or a combination thereof. This more rapid microneedle/skin contact  
25 can occur, for example, by releasing a compressed spring or other elastic device that pushes or pulls the microneedles, or by a rapid burst release of compressed gas against the back of the substrate. Alternatively, the skin could be forced by rapidly  
40 pulling a vacuum or pushing the skin from the sides (pinching) at the site of microneedle administration to cause the skin to be pulled up against an array of  
45 microneedles. Various combinations of these mechanisms can be used together, and typically are integrated into the microneedle device.



(vi). Limiting Biological Barrier Elasticity

In preferred embodiments for limiting the elasticity/deformation of tissue, the microneedle device includes features suitable for stretching, pulling, or pinching the tissue, particularly skin, to present a more rigid surface in the area to which the microneedles are applied (i.e. penetrate) as illustrated in Figures 12a-c.

It may also be useful to cool the stratum corneum to reduce its elasticity and/or to apply chemicals to the skin surface to "tighten" the skin and reduce its elasticity. For example, the skin surface may be cooled by directing a flow of cold gas through the microneedles onto the skin surface. The flow of cold gas can be generated, for example, from a liquefied gas source such as nitrogen, carbon dioxide, or a refrigerant such as FREON<sup>TM</sup>. The skin also can be cooled by contact with a cooling (e.g., refrigerated) element, such as a plate, or the microneedles themselves can function as the cooling element. The cooling element should provide sufficient local cooling of the stratum corneum so as to stiffen the stratum corneum in the vicinity of each needle sufficiently to enhance penetration. These cooling means can be used independently or in combination with chemical means to tighten the skin. Examples of chemical means include biocompatible organic solvents, such as isopropanol or acetone, which tend to dry out and stiffen the skin surface, or such astringent chemicals that can be topically applied to the skin before microneedle insertion.

(a). suction

Suction can be used to hold the skin in place during the insertion of the microneedle, limiting the deflection and deformation of skin in contact with the tips of the microneedles. Suction also can be used to bring skin in contact with stationary microneedles, and if sufficiently great, can cause the skin to be pierced by the microneedles. The suction may also enhance systemic delivery of drug by increasing blood flow in the area of administration via the microneedles, or may enhance withdrawal of interstitial fluid or blood for analysis/sensing. The suction typically is induced by creating a vacuum on the skin at the site of microneedle application. The vacuum induced is between about 10 and 2000 mm Hg, preferably between about 50 and 300 mm Hg, at the site of application. The suction typically can occur before, simultaneously with, or following insertion of the microneedles.

5

10

15

20

25

30

35

40

45

50

55

Examples of microneedle devices adapted to create a vacuum are shown in Figures 13a-c. Figure 13a shows device 120 which includes substrate 122, microneedle array 124, and reservoir 126, which is partially enclosed by outer chamber 128. Outer chamber 128 includes a port 127 through which air or other fluids within the chamber are withdrawn following, and/or before, contact of the opening rim 129 to the skin surface. The outer chamber 128 can be made of flexible or rigid material, and generally is gas impermeable. A source of vacuum, e.g., a vacuum pump, typically is adapted to be in fluid communication with port 127. A micro-battery-powered vacuum pump can be integrated into the device to provide this function. In another embodiment, device 128 can be evacuated, so that when triggered, the skin at the site of administration is exposed to the vacuum and is pulled up into the device. This embodiment effects a short pulse of vacuum, without requiring extra equipment. In another embodiment, a tool can be used to mechanically create the necessary vacuum upon manual activation.

Figure 13b is a variation of the device shown in Figure 13a, wherein device 130 includes two coaxial cylinders, outer cylinder 132 and inner cylinder 134. Vacuum is induced in the space between the cylinders. Inner cylinder 134 terminates with reservoir 136, substrate 138, and microneedles 140. Outer cylinder 132 and its rim 139 function as the outer chamber 128 and opening rim 129 in Figure 13a. Optionally, an overpressure can be applied in the inner cylinder 134 to facilitate flow, e.g. of drug, from reservoir 136 through the microneedles 140. The device can be designed to have the inner cylinder 134 and microneedles 140 in a position fixed or movable with respect to the outer cylinder 132, as appropriate. In other embodiments, the device can have a non-cylindrical shape.

A preferred embodiment of a suction device for use with a microneedle array is shown in Figure 13c. The suction device 200 includes body portion 202 having an inner vacuum ring 204 and an outer vacuum ring 206, separated by a ring-shaped microneedle array (not shown). The use of coaxial suction rings provides a uniform deformation force on the skin. The amount of deformation can be controlled by varying the absolute and relative sizes of the annular vacuum rings, and by controlling the amount of vacuum pulled, for example, by a suction pump. The relative height of the inner and outer rings, and their height relative to the microneedle array, can be varied to change the skin deformation and consequent

5 pressure onto the microneedle array. In the device shown, molecules, typically as a fluid, are delivered through a port 208 in the annular ring holding the microneedles, and to the whole ring-shaped array through a circular fluidics channel 210 adjacent  
10 the substrate of the microneedles. The device shown includes a female Luer lock 212 for attachment to a conventional syringe, as well as tubing section 214 that can be used to connect the device to a vacuum pump of some kind, either manually or  
15 power driven.

(b). stretching

Stretching can also be used to limit the deflection and deformation of skin in  
10 contact with the tips of the microneedles. The stretching can be effected by a separate device or incorporated as a feature of the microneedle device itself.

20 Figures 14a-b shows one embodiment of a microneedle device that includes a stretching component. Microneedle device 150 includes flexible stretching cone 152, which includes circular outer rim 156 and surrounds microneedles 154. Figure  
25 14a shows device 150 with the stretching cone 152 in its normal, relaxed form and shape (i.e. no net forces applied to it) resting on the skin surface, with the tips of microneedles 154 terminating inside stretching cone 152. Figure 14b shows device  
30 150 following application of pressure (e.g., manual pressure) on device 150 toward the skin. As the force is applied, outer rim 156 begins to "flatten" and frictionally engages the skin surface, stretching the skin away from and perpendicular to the  
35 central axis of the stretching cone 152, while the microneedles 154 move into contact with and then penetrate the skin. The outer rim 156 should be roughened, knurled, have teeth, or be formed of or coated with a sticky or non-slippery material so as to provide the necessary engagement between the surfaces. Examples of  
25 suitable materials include rubbers and synthetic polymers.

40 In another embodiment, the device includes an aperture or other means of allowing gas trapped between the barrier and the device to escape. Examples of such apertures include one or more vent holes or slits, for example, through the side of the stretching cone 152.

45 30 A preferred embodiment is shown in Figure 14c, wherein stretching cone 152 is replaced with a plurality of, e.g., four, hinged stretching elements 153. The stretching elements stretch the skin laterally as device 150 is pressed down against the skin. The skin deflection is determined by the length of the stretcher element  
50

5 153 relative to the length of the central cylinder 155. Like outer rim 156, the ends of the stretching elements 153 (distal the hinge end) preferably are roughened or knurled, have teeth, or are formed of or coated with a sticky or non-slippery material  
10 so as to control the friction between the skin and the stretching elements 153, thereby providing an additional means of controlling the stretching force. The device shown in Figure 14c is connected to a standard female Luer lock 157, for attachment to a standard syringe. In a preferred embodiment, microneedles 154 are  
15 aligned in columns and rows substantially parallel to the stretching element 153, so as to optimize the stretching force fore effective microneedle penetration.

20 In another embodiment, stretching of the skin is accomplished using a separate ring device, which can be pressed against the skin and then concentrically expanded to stretch the skin within the ring. For example, the ring device can be a rubber-coated metal band (having diameter x), which is compressed into a coil (having a diameter less than x). The coiled ring is then pressed against the skin and  
25 allowed to uncoil (i.e. expand to diameter x again), while frictionally engaging the skin, thereby stretching it. In a further embodiment, the ring device is configured as an iris that expands to stretch the skin upon mechanical actuation by engaging an actuation lever or rotary motion.

30 (c). pinching

35 Pinching can also be used to limit the deflection and deformation of skin in contact with the tips of the microneedles. In a preferred embodiment, the microneedle device includes jaws, typically one or more pair, which can be pressed against the skin surface and triggered to close against a segment of skin, as illustrated in Figures 15a-b. The size of the jaw opening can be selected based on  
40 the area of skin to be pinched to facilitate penetration of the microneedle array selected for use.

45 In Figures 15a-b, microneedle device 160 includes a pair of jaws 164 having flex or hinge points 168 and tips 166, positioned around microneedles 162. The Figures show the jaws 164 in the open position (Fig. 15a) and in the closed position  
50 (Fig. 15b), pinching an area of skin which is drawn against the microneedle 162, causing the microneedles to penetrate the skin.

55 An alternative embodiment of the clamping device and method is illustrated in Figure 15c, wherein clamping device 170 is used to pinch the skin and provide a

5 rigid support 172 behind a pinched section of skin, in order to present a surface of  
limited deformability for insertion of the microneedles 174. Clamping device 170  
10 can be a separate device from the microneedle device 176, or the devices can be  
integrated into a single unit.

5 (d). adhesive film assist

The deformation of skin can be reduced by applying a thin, adhesive film  
15 over the skin surface at the site of application. The film keeps the skin taut and  
limits deformation at the site of microneedle insertion as the microneedles are  
pushed through the film and into the skin. This is analogous to the use of a surgical  
20 tape that is applied to the skin before making a surgical incision.

The adhesive film can include an expandable or shrinkable material that is  
25 triggered to change size or shape prior to microneedle application, so as to stretch  
the skin thereby further limiting its deformability. For example, the material can be  
water-swellable, and wetted (triggering event) prior to microneedle application. In  
30 another example, the material can change form in response to a change in  
temperature (triggering event).

The adhesive film must be thin enough for the microneedles to penetrate  
35 both the film and the stratum corneum. If hollow microneedles are used, then the  
film should fracture upon penetration without substantial clogging of the hollow  
20 bore of the microneedles. Alternatively, the film could be formed of a woven or  
porous material so that the microneedles substantially penetrate gaps or pores in the  
adhesive film rather than the fibers or matrix of the film material. Examples of  
35 suitable films include ethylene-tetrafluoroethylene (ETFE) copolymer mesh  
(available from Goodfellow PLC) and porous films such as GORETEXTM  
25 (available from William H. Gore, Inc.) coupled with an appropriate acrylic adhesive.

40 (vii). Creating Holes for the Microneedles

Another method of improving the penetration of biological barriers with  
microneedles involves producing holes or pathways in the barrier through which the  
microneedles can traverse, other than the pathway created solely by forcing the  
45 microneedle into barrier. A variety of techniques can be adapted for use with the  
microneedle devices described herein. For example, U.S. Patent No. 5,885,211 to  
Eppstein, et al. discloses several methods of selectively removing the stratum  
50 corneum to enhance permeability of human skin. Some of these so-called

5 microporation techniques disclosed can be adapted to promote penetration of  
microneedles into the viable epidermis. In a preferred embodiment, holes are  
created by vaporizing the stratum corneum, for example, by using a laser or by  
10 heating of the tips of the microneedles.

5 Laser techniques are described, for example, in U.S. patents No. 5,885,211 to  
Eppstein, et al. and No. 4,775,361 to Jacques et al. The laser, or pulsed light source,  
preferably is used in conjunction with a dye which substantially absorbs over the  
15 emission range of the light. The dye is applied to the skin and the laser or light is  
focused on the dye, heating it. Then, the stratum corneum adjacent to the dye is  
10 heated by conduction, elevating the temperature of tissue-bound water and other  
vaporizable substances in the selected area above the vaporization point of the water  
and other vaporizable substances. This vaporization results in degradation of the  
stratum corneum at one or more select, i.e. pinpoint, areas, through which the  
microneedles can readily penetrate. One of skill in the art can readily select the  
25 appropriate dye, laser (e.g., Helium-Neon) or light source, and parameters of use,  
based, in part, on the particular microneedle array, drug to be delivered, and/or  
analyte to sample. In one embodiment, the light is focused, in part, by passing the  
light through the internal bore of a hollow microneedle.

30 Thermal ablation of the stratum corneum can be achieved by radiant heating  
(using a light/laser as described above) or by using conductive heating. For  
example, U.S. Patent No. 5,885,211 to Eppstein, et al. describes contacting human  
skin with a heat source (conductive heating) ablate the stratum corneum. The  
35 microneedle devices described herein can be applied to the ablated skin and/or can  
be configured to provide the ablation of the stratum corneum, in particularly by  
25 heating the microneedles to serve as the heat source which contacts the skin.

40 Heating of the microneedles can be accomplished, for example, by (1) contacting the  
needles with an ohmic heating element, (2) providing microneedles formed of or  
coated with a conductive material, through which a modulated electrical current is  
passed to induce resistive heating of the microneedles, or (3) providing microneedles  
45 30 positioned in a modulatable alternating magnetic field of an excitation coil such that  
energizing the excitation coil with alternating current produces eddy currents  
sufficient to heat the microneedles by internal ohmic losses. The microneedles  
should be able to rapidly heat the skin surface at select spots to above 100 °C,

preferably above 123 °C. to induce flash vaporization of the water content of the stratum corneum, as described in U.S. Patent No. 4,775,361 to Jacques et al. The heating preferably is done using an on/off cycling technique and/or adjacent cooling to minimize damage to tissues adjacent the target area. In embodiments in which the microneedles are heated, the substrate preferably includes an insulating material, as shown in Figure 16, which illustrates two hollow microneedles and substrate in cross-section.

Other embodiments include applying a jet or focused blast of high pressure fluid to hydraulically puncture the stratum corneum and form a micropore into which the microneedle is inserted. The micropore preferably is slightly larger than the diameter of the microneedle. The microneedle device can be designed to utilize hollow microneedles to direct the jet of high pressure fluid. Various devices and techniques for high velocity introduction of fluids and particles into skin for delivery of drugs or genes are described, for example, in U.S. Patents No. 5,919,159 and No. 5,599,302 to Lilley, et al. (Medi-Ject, Inc.), U.S. Patent No. 5,899,880 to Bellhouse, et al. (PowderJect Research Ltd.), and U.S. Patent No. 5,865,796 to McCabe (PowderJect Vaccines, Inc.). Creation of the holes and penetration can be enhanced by select cooling/freezing of the surface of the skin, e.g., cryoablation (see U.S. Patent No. 5,147,355 to Friedman, et al.). For example, the microneedle device can be adapted to create a local Joule-Thompson effect (see U.S. Patent No. 5,758,505 to Dobak III, et al.).

In another embodiment, chemical agents, such as certain keratin reducing agents (see U.S. Pat. No. 5,911,223 to Weaver et al.), can be applied at the site of administration to degrade the keratin of the skin's stratum corneum, rendering it more porous. Examples of suitable chemicals include sodium thiosulfate and urea.

*(viii). Lubricated Microneedles*

In one embodiment, the microneedles include a lubricating material, such as TEFLON™ (polytetrafluoroethylene), coated onto the microneedles. In a preferred embodiment, the lubricating material is incorporated into metal microneedles, for example, by plating the lubricating material with the metal during the manufacture of the microneedles.

5

(ix). Vibrating the Microneedles

10

15

20

Essentially all of the microneedle devices and methods described herein can be adapted to vibrate the microneedles and/or the skin to further facilitate penetration. The vibration can be effected to move the microneedles perpendicular and/or parallel to the surface of the biological barrier, and/or at an orientation thereinbetween. The vibration motion can be induced using known techniques, the most common of which is coupling the microneedle or array thereof to a piezoelectric transducer that can provide the vibratory motion. Such a transducer can be bonded directly to the array or can be bonded to a reservoir, thereby utilizing the acoustic transmission properties of the reservoir contents (e.g., an aqueous drug solution) to transmit vibration to the microneedles. Alternatively, electromechanical actuation can be used to vibrate the microneedles, such electromechanical actuation means include miniature motors and speaker coils.

25

3. Methods of Making Microneedle Devices

30

35

The microneedle devices are made by microfabrication processes, by creating small mechanical structures in silicon, metal, polymer, and other materials. These microfabrication processes are based on well-established methods used to make integrated circuits, electronic packages and other microelectronic devices, augmented by additional methods used in the field of micromachining. The microneedle devices can have dimensions as small as a few nanometers and can be mass-produced at low per-unit costs.

40

45

50

a. Microfabrication Processes

Microfabrication processes that may be used in making the microneedles disclosed herein include lithography; etching techniques, such as wet chemical, dry, and photoresist removal; thermal oxidation of silicon; electroplating and electroless plating; diffusion processes, such as boron, phosphorus, arsenic, and antimony diffusion; ion implantation; film deposition, such as evaporation (filament, electron beam, flash, and shadowing and step coverage), sputtering, chemical vapor deposition (CVD), epitaxy (vapor phase, liquid phase, and molecular beam), electroplating, screen printing, lamination, stereolithography, laser machining, and laser ablation (including projection ablation). See generally Jaeger, Introduction to Microelectronic Fabrication (Addison-Wesley Publishing Co., Reading MA 1988); Runyan, *et al.*, Semiconductor Integrated Circuit Processing Technology (Addison-

55



5 Wesley Publishing Co., Reading MA 1990); *Proceedings of the IEEE Micro Electro Mechanical Systems Conference 1987-1998*; Rai-Choudhury, ed., Handbook of Microlithography, Micromachining & Microfabrication (SPIE Optical Engineering Press, Bellingham, WA 1997).

10 The following methods are preferred for making microneedles.

(i). Electrochemical Etching of Silicon

15 In this method, electrochemical etching of solid silicon to porous silicon is used to create extremely fine (on the order of 0.01  $\mu\text{m}$ ) silicon networks which can be used as piercing structures. This method uses electrolytic anodization of silicon  
20 in aqueous hydrofluoric acid, potentially in combination with light, to etch channels into the silicon. By varying the doping concentration of the silicon wafer to be etched, the electrolytic potential during etching, the incident light intensity, and the electrolyte concentration, control over the ultimate pore structure can be achieved. The material not etched (i.e. the silicon remaining) forms the microneedles. This  
25 method has been used to produce irregular needle-type structures measuring tens of nanometers in width.

(ii). Plasma Etching

30 This process uses deep plasma etching of silicon to create microneedles with diameters on the order of 0.1  $\mu\text{m}$  or larger. Needles are patterned directly using  
20 photolithography, rather than indirectly by controlling the voltage (as in electrochemical etching), thus providing greater control over the final microneedle geometry.

35 In this process, an appropriate masking material (e.g., metal) is deposited onto a silicon wafer substrate and patterned into dots having the diameter of the  
25 desired microneedles. The wafer is then subjected to a carefully controlled plasma based on fluorine/oxygen chemistries to etch very deep, high aspect ratio trenches into the silicon. See, e.g., Jansen, *et al.*, "The Black Silicon Method IV: The Fabrication of Three-Dimensional Structures in Silicon with High Aspect Ratios for Scanning Probe Microscopy and Other Applications," *IEEE Proceedings of Micro*  
40  
45  
30 *Electro Mechanical Systems Conference*, pp. 88-93 (1995). Those regions protected by the metal mask remain and form the needles. This method is further described in Example 1 below.

5

(iii). Electroplating

10

In this process, a metal layer is first evaporated onto a planar substrate. A layer of photoresist is then deposited onto the metal to form a patterned mold which leaves an exposed-metal region in the shape of needles. By electroplating onto the exposed regions of the metal seed layer, the mold bounded by photoresist can be filled with electroplated material. Finally, the substrate and photoresist mold are removed, leaving the finished microneedle array. The microneedles produced by this process generally have diameters on the order of 1  $\mu\text{m}$  or larger. See, e.g., Frazier, *et al.*, "Two dimensional metallic microelectrode arrays for extracellular stimulation and recording of neurons", *IEEE Proceedings of the Micro Electro Mechanical Systems Conference*, pp. 195-200 (1993).

20

(iv). Other Processes

25

Another method for forming microneedles made of silicon or other materials is to use microfabrication techniques such as photolithography, plasma etching, or laser ablation to make a mold form (A), transferring that mold form to other materials using standard mold transfer techniques, such as embossing or injection molding (B), and reproducing the shape of the original mold form (A) using the newly-created mold (B) to yield the final microneedles (C). Alternatively, the creation of the mold form (A) could be skipped and the mold (B) could be microfabricated directly, which could then be used to create the final microneedles (C).

30

35

Another method of forming solid silicon microneedles is by using epitaxial growth on silicon substrates, as is utilized by Containerless Research, Inc. (Evanston, Illinois, USA) for its products.

25 b. Hollow or Porous Microneedles

40

In a preferred embodiment, microneedles are made with pores or other pathways through which material may be transported. The following descriptions outline representative methods for fabricating either porous or hollow microneedles.

45

(i). Porous Microneedles

30 Rather than having a single, well-defined hole down the length of the needle, porous needles are filled with a network of channels or pores which allow conduction of fluid or energy through the needle shaft. It has been shown that by appropriate electrochemical oxidation of silicon, pore arrays with high aspect ratios

50

55

5 and a range of different pore size regimes can be formed; these pore regimes are defined as (1) microporous regime with average pore dimensions less than 2 nm, (2) mesoporous regime with average pore sizes of between 2 nm and 50 nm, and (3) macroporous regime with pores greater than 50 nm. The mesoporous and macroporous regimes are expected to be most useful for drug delivery. Two approaches to porous needles are generally available, either (a) the silicon wafer is first made porous and then etched as described above to form needles or (b) solid microneedles are etched and then rendered porous, for example, by means of electrochemical oxidation, such as by anodization of a silicon substrate in a hydrofluoric acid electrolyte. The size distribution of the etched porous structure is highly dependent on several variables, including doping kind and illumination conditions, as detailed in Lehmann, "Porous Silicon—A New Material for MEMS", *IEEE Proceedings of the Micro Electro Mechanical Systems Conference*, pp. 1-6 (1996). Porous polymer or metallic microneedles can be formed, for example, by micromolding a polymer containing a volatilizable or leachable material, such as a volatile salt, dispersed in the polymer or metal, and then volatilizing or leaching the dispersed material, leaving a porous polymer matrix in the shape of the microneedle.

(ii). Hollow Microneedles

Three-dimensional arrays of hollow microneedles can be fabricated, for example, using combinations of dry etching processes (Laermer, et al., "Bosch Deep Silicon Etching: Improving Uniformity and Etch Rate for Advanced MEMS Applications," *Micro Electro Mechanical Systems*, Orlando, FL, USA, (Jan. 17-21, 1999); Despont et al., "High-Aspect-Ratio, Ultrathick, Negative-Tone Near-UV Photoresist for MEMS", *Proc. of IEEE 10<sup>th</sup> Annual International Workshop on MEMS*, Nagoya, Japan, pp. 518-22 (Jan. 26-30, 1997)); micromold creation in lithographically-defined and/or laser ablated polymers and selective sidewall electroplating; or direct micromolding techniques using epoxy mold transfers.

One or more distinct and continuous pathways are created through the interior of microneedles. In a preferred embodiment, the microneedle has a single annular pathway along the center axis of the microneedle. This pathway can be achieved by initially chemically or physically etching the holes in the material and then etching away microneedles around the hole. Alternatively, the microneedles and their holes can be made simultaneously or holes can be etched into existing

microneedles. As another option, a microneedle form or mold can be made, then coated, and then etched away, leaving only the outer coating to form a hollow microneedle. Coatings can be formed either by deposition of a film or by oxidation of the silicon microneedles to a specific thickness, followed by removal of the interior silicon. Also, holes from the backside of the wafer to the underside of the hollow needles can be created using a front-to-backside infrared alignment followed by etching from the backside of the wafer.

(a). silicon microneedles

One method for hollow needle fabrication is to replace the solid mask used in the formation of solid needles by a mask that includes a solid shape with one or more interior regions of the solid shape removed. One example is a "donut-shaped" mask. Using this type of mask, interior regions of the needle are etched simultaneously with their side walls. Due to lateral etching of the inner side walls of the needle, this may not produce sufficiently sharp walls. In that case, two plasma etches may be used, one to form the outer walls of the microneedle (i.e., the 'standard' etch), and one to form the inner hollow core (which is an extremely anisotropic etch, such as in inductively-coupled-plasma "ICP" etch). For example, the ICP etch can be used to form the interior region of the needle followed by a second photolithography step and a standard etch to form the outer walls of the microneedle. Figure 1a represents a silicon wafer 82 with a patterned photoresist layer 84 on top of the wafer 82. The wafer 82 is anisotropically etched (Figure 1b) to form a cavity 86 through its entire thickness (Figure 1c). The wafer 82 is then coated with a chromium layer 88 followed by a second photoresist layer 90 patterned so as to cover the cavity 86 and form a circular mask for subsequent etching (Figure 1d). The wafer 82 is then etched by a standard etch to form the outer tapered walls 92 of the microneedle (Figure 1e).

Alternatively, this structure can be achieved by substituting the chromium mask used for the solid microneedles described in Example 1 by a silicon nitride layer 94 on the silicon substrate 95 covered with chromium 96, deposited as shown in Figure 2a and patterned as shown in Figure 2b. Solid microneedles are then etched as described in Example 1 as shown Figure 2c, the chromium 96 is stripped (Figure 2d), and the silicon 95 is oxidized to form a thin layer of silicon dioxide 97 on all exposed silicon surfaces (Figure 2e). The silicon nitride layer 94 prevents

5 oxidation at the needle tip. The silicon nitride 94 is then stripped (Figure 2f),  
leaving exposed silicon at the tip of the needle and oxide-covered silicon 97  
everywhere else. The needle is then exposed to an ICP plasma which selectively  
10 etches the inner sidewalls of the silicon 95 in a highly anisotropic manner to form  
5 the interior hole of the needle (Figure 2g).

Another method uses the solid silicon needles described previously as 'forms'  
around which the actual needle structures are deposited. After deposition, the forms  
15 are etched away, yielding the hollow structures. Silica needles or metal needles can  
be formed using different methods. Silica needles can be formed by creating needle  
10 structures similar to the ICP needles described above prior to the oxidation described  
above. The wafers are then oxidized to a controlled thickness, forming a layer on  
20 the shaft of the needle form which will eventually become the hollow microneedle.  
The silicon nitride is then stripped and the silicon core selectively etched away (e.g.,  
in a wet alkaline solution) to form a hollow silica microneedle.

15 In a preferred embodiment, an array of hollow silicon microtubes is made  
using deep reactive ion etching combined with a modified black silicon process in a  
conventional reactive ion etcher, as described in Example 3 below. First, arrays of  
circular holes are patterned through photoresist into  $\text{SiO}_2$ , such as on a silicon  
30 wafer. Then the silicon can be etched using deep reactive ion etching (DRIE) in an  
inductively coupled plasma (ICP) reactor to etch deep vertical holes. The  
photoresist was then removed. Next, a second photolithography step patterns the  
remaining  $\text{SiO}_2$  layer into circles concentric to the holes, leaving ring shaped oxide  
35 masks surrounding the holes. The photoresist is then removed and the silicon wafer  
again deep silicon etched, such that the holes are etched completely through the  
25 wafer (inside the  $\text{SiO}_2$  ring) and simultaneously the silicon is etched around the  $\text{SiO}_2$   
ring leaving a cylinder.

This latter process can be varied to produce hollow, tapered microneedles.  
After an array of holes is fabricated as described above, the photoresist and  $\text{SiO}_2$   
layers are replaced with conformal DC sputtered chromium rings. The second ICP  
45 etch is replaced with a  $\text{SF}_6/\text{O}_2$  plasma etch in a reactive ion etcher (RIE), which  
30 results in positively sloping outer sidewalls. Henry, et al., "Micromachined Needles  
for the Transdermal Delivery of Drugs," *Micro Electro Mechanical Systems*,  
Heidelberg, Germany, pp. 494-98 (Jan. 26-29, 1998).

5

(b). metal microneedles

10

15

20

Metal needles can be formed by physical vapor deposition of appropriate metal layers on solid needle forms, which can be made of silicon using the techniques described above, or which can be formed using other standard mold techniques such as embossing or injection molding. The metals are selectively removed from the tips of the needles using electropolishing techniques, in which an applied anodic potential in an electrolytic solution will cause dissolution of metals more rapidly at sharp points, due to concentration of electric field lines at the sharp points. Once the underlying silicon needle forms have been exposed at the tips, the silicon is selectively etched away to form hollow metallic needle structures. This process could also be used to make hollow needles made from other materials by depositing a material other than metal on the needle forms and following the procedure described above.

25

30

35

40

45

50

A preferred method of fabricating hollow metal microneedles utilizes micromold plating techniques, which are described as follows and in Examples 4 and 5. In a method for making metal microtubes, which does not require dry silicon etching, a photo-defined mold first is first produced, for example, by spin casting a thick layer, typically 150  $\mu\text{m}$ , of an epoxy (e.g., SU-8) onto a substrate that has been coated with a thin sacrificial layer, typically about 10 to 50 nm. Arrays of cylindrical holes are then photolithographically defined through the epoxy layer, which typically is about 150  $\mu\text{m}$  thick. (Despont, et al., "High-Aspect-Ratio, Ultrathick, Negative-Tone Near-UV Photoresist for MEMS," *Proc. of IEEE 10<sup>th</sup> Annual International Workshop on MEMS*, Nagoya, Japan, pp. 518-22 (Jan. 26-30, 1997)). The diameter of these cylindrical holes defines the outer diameter of the tubes. The upper surface of the substrate, the sacrificial layer, is then partially removed at the bottom of the cylindrical holes in the photoresist. The exact method chosen depends on the choice of substrate. For example, the process has been successfully performed on silicon and glass substrates (in which the upper surface is etched using isotropic wet or dry etching techniques) and copper-clad printed wiring board substrates. In the latter case, the copper laminate is selectively removed using wet etching. Then a seed layer, such as Ti/Cu/Ti (e.g., 30 nm/200 nm/30 nm), is conformally DC sputter-deposited onto the upper surface of the epoxy mold and onto the sidewalls of the cylindrical holes. The seed layer should be electrically

55

5 isolated from the substrate. Subsequently, one or more electroplatable metals or  
alloys are electroplated onto the seed layer. Representative suitable metals and  
alloys include Ni, NiFe, Au, Cu, Cr, Pt, Pd, and Ti. The surrounding epoxy is then  
10 removed, leaving microtubes which each have an interior annular hole that extends  
5 through the base metal supporting the tubes. The rate and duration of electroplating  
is controlled in order to define the wall thickness and inner diameter of the  
microtubes. In one embodiment, this method was used to produce microtubes  
15 having a height of between about 150 and 250  $\mu\text{m}$ , an outer diameter of between  
about 40 and 120  $\mu\text{m}$ , and an inner diameter of between about 30 and 110  $\mu\text{m}$  (i.e.,  
10 having a wall thickness of 10  $\mu\text{m}$ ). In a typical array, the microtubes have a tube  
center-to-center spacing of about 150  $\mu\text{m}$ , but can vary depending on the desired  
20 needle density.

A variation of this method is preferred for forming tapered microneedles. As  
described above, photolithography yields holes in the epoxy which have vertical  
25 sidewalls, such that the resulting shafts of the microneedles are straight, not tapered.  
This vertical sidewall limitation can be overcome by molding a preexisting 3D  
structure, i.e., a mold-insert. The subsequent removal of the mold-insert leaves a  
mold which can be surface plated similarly to the holes produced by  
30 photolithography described above.

20 Alternatively, non-vertical sidewalls can be produced directly in the  
polymeric mold into which electroplating will take place. For example,  
conventional photoresists known in the art can be exposed and developed in such as  
35 way as to have the surface immediately adjacent to the mask be wider than the other  
surface. Specialized greyscale photoresists in combination with greyscale masks can  
25 accomplish the same effect. Laser-ablated molds can also be made with tapered  
sidewalls, e.g., by optical adjustment of the beam (in the case of serial hole  
40 fabrication) or of the reticle or mold during ablation (in the case of projection  
ablation).

To form hollow tapered microneedles, the mold-insert is an array of solid  
45 silicon microneedles, formed as described in Henry, et al., "Micromachined Needles  
for the Transdermal Delivery of Drugs," *Micro Electro Mechanical Systems*,  
Heidelberg, Germany, Jan. 26-29, pp. 494-98 (1998). First, a layer of a material,  
50 such as an epoxy (e.g., SU-8 or a polydimethylsiloxane ("PDMS")), is spin cast onto

5 the array of silicon needles to completely blanket the entire array. The epoxy settles during pre-bake to create a planar surface above the silicon needle tips; the material is then fully pre-baked, photolithographically cross-linked, and post-baked.

10 The upper surface of the epoxy is then etched away, for example with an  
5  $O_2/CHF_3$  plasma, until the needle tips are exposed, preferably leaving between about 1 and 5  $\mu m$  of tip protruding from the epoxy. The silicon is then selectively removed, for example by using a  $SF_6$  plasma or a  $HNO_3/HF$  solution. The remaining epoxy micromold is the negative of the microneedles and has a small diameter hole where the tip of the microneedle formerly protruded.

15 After the removal of the silicon, a seed layer, such as Ti-Cu-Ti, is  
10 conformally sputter-deposited onto the epoxy micromold. Following the same process sequence described for hollow metal microtubes, one or more electroplatable metals or alloys, such as Ni, NiFe, Au, or Cu, are electroplated onto the seed layer. Finally, the epoxy is removed, for example by using an  $O_2/CHF_3$   
25 plasma, leaving an array of hollow metal microneedles. An advantage of using PDMS in this application is that the micromold can be physically removed from the silicon mold insert by mechanical means, such as peeling, without damaging the silicon mold insert, thus allowing the silicon mold insert to be reused. Furthermore,  
30 the electroplated microneedles can be removed from the PDMS mold by mechanical means, for example by peeling, thereby allowing the PDMS to also be reused. In a preferred embodiment, this method is used to produce microneedles having a height  
20 of between about 150 and 250  $\mu m$ , an outer diameter of between about 40 and 120  $\mu m$ , and an inner diameter of between about 50 and 100  $\mu m$ . In a typical array, the microtubes have a tube center-to-center spacing of about 150  $\mu m$ , but can vary  
35 depending on the desired needle density. The microneedles are 150  $\mu m$  in height  
25 with a base diameter of 80  $\mu m$ , a tip diameter of 10  $\mu m$ , and a needle-to-needle spacing of 150  $\mu m$ .

(c). silicon dioxide microneedles

45 Hollow microneedles formed of silicon dioxide can be made by oxidizing the  
30 surface of the silicon microneedle forms (as described above), rather than depositing a metal and then etching away the solid needle forms to leave the hollow silicon dioxide structures. This method is illustrated in Figures 3a-d. Figure 3a shows an



array 24 of needle forms 26 with masks 28 on their tips. In Figure 3b, the needle forms 26 have been coated with a layer 30 of metal, silicon dioxide or other material. Figure 3c shows the coated needle forms 26 with the masks 28 removed. Finally, in Figure 3d, the needle forms 26 have been etched away, leaving hollow needles 30 made of metal, silicon dioxide, or other materials.

In one embodiment, hollow, porous, or solid microneedles are provided with longitudinal grooves or other modifications to the exterior surface of the microneedles. Grooves, for example, should be useful in directing the flow of molecules along the outside of microneedles.

(d). polymer microneedles

In a preferred method, polymeric microneedles are made using microfabricated molds. For example, the epoxy molds can be made as described above and injection molding techniques can be applied to form the microneedles in the molds (Weber, et al., "Micromolding - a powerful tool for the large scale production of precise microstructures", *Proc. SPIE - International Soc. Optical Engineer.* 2879:156-67 (1996); Schift, et al., "Fabrication of replicated high precision insert elements for micro-optical bench arrangements" *Proc. SPIE - International Soc. Optical Engineer.* 3513:122-34 (1998)). These micromolding techniques are preferred over other techniques described herein, since they can provide relatively less expensive replication, i.e. lower cost of mass production. In a preferred embodiment, the polymer is biodegradable.

Microneedles, particularly hollow ones and ones formed of relatively brittle materials, may break at the juncture of the microneedle and substrate due to mechanical stresses at the sharp angle formed there. It has been found that the integrity of such microneedles can be improved by reinforcing the base of the microneedles with an additional layer of material (e.g., silicon) applied onto the face of the substrate face adjacent the base end of the microneedles as shown in Figure 17.

#### 4. Microneedle Device Applications

The device may be used for single or multiple uses for rapid transport across a biological barrier or may be left in place for longer times (e.g., hours or days) for long-term transport of molecules. Depending on the dimensions of the device, the application site, and the route in which the device is introduced into (or onto) the

5 biological barrier, the device may be used to introduce or remove molecules at specific locations.

10 As discussed above, Figure 9 shows a side elevational view of a schematic of a preferred embodiment of the microneedle device 10 in a transdermal application.

5 The device 10 is applied to the skin such that the microneedles 12 penetrate through the stratum corneum and enter the viable epidermis so that the tip of the microneedle at least penetrates into the viable epidermis. In a preferred embodiment, drug  
15 molecules in a reservoir within the upper portion 11 flow through or around the microneedles and into the viable epidermis, where the drug molecules then diffuse  
10 into the dermis for local treatment or for transport through the body.

20 To control the transport of material out of or into the device through the microneedles, a variety of forces or mechanisms can be employed. These include pressure gradients, concentration gradients, electricity, ultrasound, receptor binding, heat, chemicals, and chemical reactions. Mechanical or other gates in conjunction  
25 with the forces and mechanisms described above can be used to selectively control transport of the material.

30 In particular embodiments, the device should be "user-friendly." For example, in some transdermal applications, affixing the device to the skin should be relatively simple, and not require special skills. This embodiment of a microneedle  
20 may include an array of microneedles attached to a housing containing drug in an internal reservoir, wherein the housing has a bioadhesive coating around the microneedles. The patient can remove a peel-away backing to expose an adhesive  
35 coating, and then press the device onto a clean part of the skin, leaving it to administer drug over the course of, for example, several days.

25 a. Drug Delivery

40 Essentially any drug or other bioactive agents can be delivered using these devices. Many drugs can be delivered at a variety of therapeutic rates. The rate can be controlled by varying a number of design factors, including the outer diameter of the microneedle, the number and size of pores or channels in each microneedle, the  
45 number of microneedles in an array, the magnitude and frequency of application of the force driving the drug through the microneedle and/or the holes created by the microneedles. For example, devices designed to deliver drug at different rates might  
50 have more microneedles for more rapid delivery and fewer microneedles for less

5 rapid delivery. As another example, a device designed to deliver drug at a variable rate could vary the driving force (e.g., pressure gradient controlled by a pump) for transport according to a schedule which was pre-programmed or controlled by, for example, the user or his doctor. The devices can be affixed to the skin or other  
10 tissue to deliver drugs continuously or intermittently, for durations ranging from a few seconds to several hours or days.

15 One of skill in the art can measure the rate of drug delivery for particular microneedle devices using *in vitro* and *in vivo* methods known in the art. For example, to measure the rate of transdermal drug delivery, human cadaver skin  
20 mounted on standard diffusion chambers can be used to predict actual rates. See Hadgraft & Guy, eds., Transdermal Drug Delivery: Developmental Issues and Research Initiatives (Marcel Dekker, New York 1989); Bronaugh & Maibach, Percutaneous Absorption, Mechanisms--Methodology--Drug Delivery (Marcel Dekker, New York 1989). After filling the compartment on the dermis side of the  
25 diffusion chamber with saline, a microneedle array is inserted into the stratum corneum; a drug solution is placed in the reservoir of the microneedle device; and samples of the saline solution are taken over time and assayed to determine the rates of drug transport.

30 In an alternate embodiment, biodegradable or non-biodegradable microneedles can be used as the entire drug delivery device, where biodegradable microneedles are a preferred embodiment. For example, the microneedles may be  
35 formed of a biodegradable polymer containing a dispersion of an active agent for local or systemic delivery. The agent could be released over time, according to a profile determined by the composition and geometry of the microneedles, the  
25 concentration of the drug and other factors. In this way, the drug reservoir is within the matrix of one or more of the microneedles.

40 In another alternate embodiment, these microneedles may be purposefully sheared off from the substrate after penetrating the biological barrier. In this way, a portion of the microneedles would remain within or on the other side of the  
45 biological barrier and a portion of the microneedles and their substrate would be removed from the biological barrier. In the case of skin, this can involve inserting an array into the skin, manually or otherwise breaking off the microneedles tips and  
50 then removing the base of the microneedles. The portion of the microneedles which

5 remains in the skin or other biological barrier can then release drug over time according to a profile determined by the composition and geometry of the microneedles, the concentration of the drug and other factors. In a preferred  
10 embodiment, the microneedles are made of a biodegradable polymer. The release of drug from the biodegradable microneedle tips can be controlled by the rate of polymer degradation. The tips can release drugs for local or systemic effect, or other agents, such as perfume, insect repellent and sun block.

15 Microneedle shape and content can be designed to control the breakage of microneedles. For example, a notch can be introduced into microneedles either at  
20 the time of fabrication or as a subsequent step. In this way, microneedles would preferentially break at the site of the notch. Moreover, the size and shape of the portion of microneedles which break off can be controlled not only for specific drug release patterns, but also for specific interactions with cells in the body. For example, objects of a few microns in size are known to be taken up by macrophages.  
25 The portions of microneedles that break off can be controlled to be bigger or smaller than that to prevent uptake by macrophages or can be that size to promote uptake by macrophages, which can be desirable for delivery of vaccines.

(i). Drugs to be Delivered

30 Essentially any drug can be delivered using the microneedle devices. As used herein, the term "drug" refers to an agent which possesses therapeutic, prophylactic, or diagnostic properties *in vivo*, for example when administered to an animal, including mammals, such as humans. The drug can be for local treatment or  
35 for regional or systemic therapy.

Representative examples of suitable therapeutic and/or prophylactic active  
25 agents include proteins, such as hormones, antigens, and growth factors; nucleic acids, such as antisense molecules; and smaller molecules, such as antibiotics, steroids, decongestants, neuroactive agents, anesthetics, and sedatives. Examples of suitable diagnostic agents include radioactive isotopes and radioopaque agents, metals, gases, labels including chromatographic, fluorescent or enzymatic labels.

40 The drug can be or include a peptide, protein, carbohydrate (including monosaccharides, oligosaccharides, and polysaccharides), nucleoprotein, mucoprotein, lipoprotein, glycoprotein, nucleic acid molecules (including any form of DNA such as cDNA, RNA, or a fragment thereof, oligonucleotides, and genes).  
45

5 nucleotide, nucleoside, lipid, biologically active organic or inorganic molecules, or combination thereof.

10 Drugs can be selected, for example, from enzymes, polysaccharides, polynucleotide molecules, and synthetic organic and inorganic compounds. Representative agents  
5 include anti-infectives, hormones, such as insulin, growth regulators, drugs regulating cardiac action or blood flow, and drugs for pain control. The following are representative examples, and disorders they are used to treat: Calcitonin,  
15 osteoporosis; Enoxaprin, anticoagulant; Etanercept, rheumatoid arthritis; Erythropoietin, anemia; Fentanyl, postoperative and chronic pain; Filgrastin, low  
10 white blood cells from chemotherapy; Heparin, anticoagulant; Insulin, human, diabetes; Interferon  $\beta$  1a, multiple sclerosis; Lidocaine, local anesthesia;  
20 Somatropin, growth hormone; and Sumatriptan, migraine headaches.

The amount of drug can be selected by one of skill in the art based, for example on the particular drug, its desired effect at the planned release levels, and  
25 15 the time span over which the drug should be released.

*(ii). Examples of Drug Delivery Devices*

Preferred embodiments of the microneedle device are shown in Figures 20a-  
30 c. The device 510 includes substrate 512 from which a three-dimensional array of microneedles 514 protrude. As shown, the annular bore of the microneedles 514  
20 extends through the substrate 512. The device 510 also includes a reservoir 516 secured to substrate 512 via a sealing mechanism 518. Figure 20a shows how the reservoir can be accessed directly by application to the skin, for example, for simple  
35 transdermal delivery of an agent. The device in Figure 20b includes a deformable bubble reservoir 516. Manual pressure can be used to expel its contents at the site of application. Figure 20c shows a separate reservoir 516 from means 519 for  
25 expelling the contents of the reservoir 516 at the site of administration. The expelling means 519 can be simply a flexible bag. The expelling means 519 may also contain a vacuum so that it expands when vented, to create pressure on the  
40 reservoir, or it may be elastic so that it deforms when released from one position (not shown). Alternatively, reservoir 516 could be formed of an elastic material  
45 30 which deforms when released.

The sealing mechanism 518 can be, for example, an adhesive material or gasket. The sealing mechanism 518 can further function as or include a fracturable  
50

5 barrier or rate controlling membrane overlaying the surface of the substrate. In this embodiment, nothing can be released until a seal or peel-off strip covering is removed.

10 Another preferred embodiment of the microneedle device is shown in Figure 21. The device 520 includes substrate 512 from which a three-dimensional array of microneedles 514 protrude. The device 520 also includes plunger 522 that is slidably secured to the upper surface of substrate 512 by plunger guide frame 524 using a restraint such as a Luer-lock interface 523. The substrate 512 can be attached or detached to a syringe 526 via a connector such as a Luer-lock type attachment 523. The plunger 522, guide frame 524, and connector 523 connect to, form or contain reservoir 516. A Luer-lock type attachment could alternatively be used to secure the device to means for controlling flow or transport through the device such as a pump.

25 A further preferred embodiment of the microneedle device is shown in Figure 22. Like the device in Figure 21, the device 530 includes substrate 512, microneedles 514, plunger 522, plunger guide frame 524, and reservoir 516. Device 530 further includes plunger housing 532, which is attached to, or integrally formed with, plunger guide frame 524. A compressed spring or other tension-based mechanism 534 is positioned between plunger housing 532 and plunger 522. The device 530 further includes spring hold/release mechanism 536, which keeps the plunger up (spring compressed) until triggered to compress reservoir 516.

35 (iii). Initiating Delivery

In a preferred embodiment, delivery of the drug from the reservoir is initiated by applying a force, such as by pressing the top of the reservoir, to cause the reservoir contents (i.e. a drug containing composition) to flow out through the microneedles—an active or dynamic process. For example, the user can apply finger-pressure directly to a deformable reservoir “bubble,” (Figure 20) or to a plunger mechanism (Figure 21) or a Luer-lock type syringe that in turn causes the drug composition to be forced from the reservoir. The plunger also can be adapted to activate by application of a constant, reproducible force, for example, a spring (e.g., under compression) (Figure 22) or elastic band (e.g., in tension).

50 A variation of this embodiment utilizes a balloon-like reservoir in tension to provide the force. Then, when an opening is formed in the balloon reservoir, the

5 contents are forced out of the reservoir as the balloon contracts to its relaxed state. The contraction is selectively triggered to provide the driving force for delivery.

10 In a preferred embodiment, the force ruptures a fracturable barrier between the reservoir contents and the inlet of the microneedle. Representative barriers  
5 include thin foil, polymer, or laminant films. In another preferred embodiment, the microneedles tips are blocked until immediately before use. The blocking material can be, for example, a peelable adhesive or gel film, which will not clog the  
15 openings in the microneedle tip when the film is removed from the device.

Delivery also can be initiated by opening a mechanical gate or valve  
10 interposed between the reservoir outlet and the microneedle inlet. For example, a thin film or plate can be slid or peeled away from the back of the substrate.  
20

In an alternate embodiment, delivery is initiated by changing the physical or chemical properties of the drug composition and/or of a barrier material. For example, the barrier can be a porous membrane having a porosity that can be  
25 15 selectably altered to permit flow, or the drug composition can be selected to change from a solid or semi-solid state to a fluid state, for example as the temperature is increased from ambient to that of body temperature. Such a drug composition can be prepared, for example, by combining the drug with a biodegradable polymeric material.  
30

20 A preferred embodiment of the microneedle device is shown in Figure 23a. Figure 23a shows a device 540 in which microneedles 514 attached to a substrate 512 which is attached to multiple compartments 516a, 516b, 516c, and 516d. Each compartment can contain or function as a reservoir. Material can be expelled from each compartment through all or a subset of microneedles 514.  
35

25 Figure 23b depicts a device 550 in which microneedles 514 are attached to a substrate 512 which is attached to reservoir 558 containing, for example, lyophilized drug 554. The reservoir 558 is attached to a fracturable barrier 552 which is attached to another reservoir 556 containing, for example, saline. If the barrier 552 is fractured, the two reservoirs 554 and 556 are in fluid communication with each  
40 30 other and their contents can mix.

Delivery also can be initiated by activating an osmotic pump, as described, for example, in U.S. Patent No. 4,320,758 to Eckenhoff, which has been adapted to the substrate of the microneedle device. For example, the reservoir/osmotic pump  
50

5 includes an inner flexible bag that holds the drug charge, an intermediate layer of an osmotically effective solute composition, such as an inorganic salt, that encapsulates the bag, and an outer shape-retaining membrane that is at least in part permeable to  
10 water and that encapsulates both the layer of osmotically effective solute composition and the bag. In operation, the bag filled with the fluid drug compositions is exposed to an aqueous environment, so that water is imbibed from the environment by the osmotically effective solute through the membrane into the  
15 space between the inner flexible bag and the membrane. Since the bag is flexible and the membrane is rigid, the imbibed water squeezes the bag inwardly, thereby  
20 displacing drug out the microneedles.

Figure 24 shows a device 560 in which microneedles 514 are attached to a substrate 512 which is attached to a drug reservoir 562. This reservoir is surrounded at least partially by a flexible, impermeable membrane 564. The drug reservoir is connected to another reservoir 566 which contains, for example, an inorganic salt.  
25 The two reservoirs 562 and 566 are separated by the impermeable membrane 564, which is impermeable to the contents of both reservoirs 562 and 566. The reservoir 566 is also connected to another reservoir 568 which contains, for example, an aqueous solution in which the organic salt is at least partially soluble. The two  
30 reservoirs 566 and 568 are separated by a rigid, semi-permeable membrane 570, which is partially or completely impermeable to the salt in reservoir 566 and partially or fully permeable to the solution in reservoir 568. There is also an optional fill port or vent 572 in communication with the reservoir 568, through  
35 which material can be added to or removed from the reservoir 568. Using this device 560, water can be drawn from the reservoir 568 across the semi-permeable membrane 570 into the reservoir 566 due to osmosis caused by the presence of salt  
40 in the reservoir 566. The flow of water will cause the volume of reservoir 566 to increase and thereby force the volume of reservoir 562 to decrease, which causes material to expel from reservoir 562 through microneedles 514.

In an alternate embodiment, delivery is initiated by opening the pathway  
45 30 between the reservoir and the microneedle tip, or unblocking the tip openings, and simply allowing the drug to be delivered by diffusion, that is, a passive process.

(iv). Controlling the Delivery Rate

The microneedle device must be capable of transporting drug across or into



the tissue at a useful rate. For example, the microneedle device must be capable of delivering drug at a rate sufficient to be therapeutically useful. The rate of delivery of the drug composition can be controlled by altering one or more of several design variables. For example, the amount of material flowing through the needles can be controlled by manipulating the effective hydrodynamic conductivity (the volumetric through-capacity) of a single device array, for example, by using more or fewer microneedles, by increasing or decreasing the number or diameter of the bores in the microneedles, or by filling at least some of the microneedle bores with a diffusion-limiting material. It is preferred, however, to simplify the manufacturing process by limiting the needle design to two or three "sizes" of microneedle arrays to accommodate, for example small, medium, and large volumetric flows, for which the delivery rate is controlled by other means.

Other means for controlling the rate of delivery include varying the driving force applied to the drug composition in the reservoir. For example, in passive diffusion systems, the concentration of drug in the reservoir can be increased to increase the rate of mass transfer. In active systems, for example, the pressure applied to the reservoir can be varied, such as by varying the spring constant or number of springs or elastic bands.

In either active or passive systems, the barrier material can be selected to provide a particular rate of diffusion for the drug molecules being delivered through the barrier at the needle inlet.

(v). Feedback about Delivery

In a preferred embodiment, the microneedle device includes a feedback means so that the user can (1) determine whether delivery has been initiated; and/or (2) confirm that the reservoir has been emptied, that is delivery complete. Representative feedback means include a sound, a color (change) indicator, or a change in the shape of a deformable reservoir. In a preferred embodiment, the feedback for completion of delivery is simply that the reservoir is pressed flat against the back of the substrate and cannot be further deformed.

(vi). Feedback about Penetration of Microneedles into Tissue

The user of the microneedle device typically can determine if the microneedles have been properly inserted into the skin or other tissue through visual or tactile means, that is assessing whether the substrate has been pressed essentially

flush to the tissue surface. For example, if a puddle of liquid drug composition appears near the device, then the user may infer that the microneedles are not fully inserted, suggesting that the device needs to be reapplied. The liquid drug compositions can include a coloring agent to enhance the visual feedback.

In a more complex embodiment, an electrical or chemical measurement is adapted to provide the feedback. For example, penetration can be determined by measuring a change in electrical resistance at the skin or other tissue, or a pH change. Alternately, needle-to-needle electrical resistance can be measured. In a preferred embodiment, the microneedle device includes a disposable cartridge containing the microneedles. In these devices, an LED (e.g. green light/red light) or liquid crystal display can be provided with the reusable portion of the device.

*(vii). Multi-Cartridge Microneedle Device*

A modification of the disposable, single use microneedle device utilizes a reusable triggering device (e.g., a plunger) in combination with a cartridge containing one or more, preferably a plurality, of single-use microneedle devices. For example, the cartridge can be a circular disk having 10 or 12 microneedle arrays connected to a single-dose reservoir, wherein the cartridge can be loaded into and unloaded from the triggering device. The triggering device can, for example, be designed to move a new dose into position for delivery, compress the reservoir to deliver the drug, and then eject or immobilize the used array. This type of reusable triggering device also can include a power source, such as a battery, used to operate a built-in measurement device, for example, for analyte measurement of interstitial fluids or electrical verification of needle penetration into skin.

*(viii). Microneedle Device Packaging*

In a preferred embodiment following manufacture of the microneedle device, it is packaged for storage, shipment, and sale before use. The packaging should prevent contamination and damage. The packaging also should prevent premature triggering or release of any drug or vehicle contents from the reservoir.

It is particularly important that, the microneedle device is provided with a removable protective cover or cushion that protects the microneedles from damage. The protective cover also can function to keep the drug material from prematurely leaking out of the microneedles. In a preferred embodiment, an adhesive material or gel film used to selectively secure the cover over the microneedles. In an alternate

embodiment, the film is antiseptic, and following removal can serve as a wipe to prepare the skin surface before insertion of the microneedles.

The packaging also can be adapted to serve as a vessel for safely disposing of the used microneedle device. In a preferred embodiment, the single-use microneedles are provided a device or material to shear the needles from the substrate or plug the microneedles, so as to prevent undesirable reuse of the device. In one embodiment, the inner back of the reservoir is provided with a tacky substance. When the reservoir is compressed against the back of the substrate following delivery of the reservoir contents, the tacky substance is driven into the opening of the microneedles, plugging them. In one embodiment of this device, the tacky material dries and hardens so that the substance cannot readily be removed.

**b. Diagnostic Sensing of Body Fluids (Biosensors)**

The device is applied to the skin or other biological barrier at the site where the sample is to be collected or measured. Then, biological fluid, or a component thereof, is drawn into or through the pores or bores of the microneedles, and optionally collected in the collection chamber. Alternatively, where the microneedles function as the sensor, sensing occurs without transfer of biological fluid into a collection chamber. One embodiment of the devices described herein may be used to remove material from the body across a biological barrier, i.e. for minimally invasive diagnostic sensing. For example, fluids can be transported from interstitial fluid in a tissue into a reservoir in the upper portion of the device. The fluid can then be assayed while in the reservoir or the fluid can be removed from the reservoir to be assayed, for diagnostic or other purposes. For example, interstitial fluids can be removed from the epidermis across the stratum corneum to assay for glucose concentration, which should be useful in aiding diabetics in determining their required insulin dose.

The sensing device can be in or attached to one or more microneedles, or in a housing adapted to the substrate. Sensing information or signals can be transferred optically (e.g., refractive index) or electrically (e.g., measuring changes in electrical impedance, resistance, current, voltage, or combination thereof). For example, it may be useful to measure a change as a function of change in resistance of tissue to an electrical current or voltage, or a change in response to channel binding or other

5 criteria (such as an optical change) wherein different resistances are calibrated to signal that more or less flow of drug is needed, or that delivery has been completed.

10 In one embodiment, one or more microneedle devices can be used for (1) withdrawal of interstitial fluid, (2) assay of the fluid, and/or (3) delivery of the  
5 appropriate amount of a therapeutic agent based on the results of the assay, either automatically or with human intervention. For example, a sensor delivery system may be combined to form, for example, a system which withdraws bodily fluid,  
15 measures its glucose content, and delivers an appropriate amount of insulin. The sensing or delivery step also can be performed using conventional techniques, which  
10 would be integrated into use of the microneedle device. For example, the microneedle device could be used to withdraw and assay glucose, and a  
20 conventional syringe and needle used to administer the insulin, or *vice versa*.

In an alternate embodiment, microneedles may be purposefully sheared off  
from the substrate after penetrating the biological barrier, as described above. The  
25 portion of the microneedles which remain within or on the other side of the biological barrier could contain one or more biosensors. For example, the sensor  
could change color as its output. For microneedles sheared off in the skin, this color  
30 change could be observed through the skin by visual inspection or with the aid of an optical apparatus.

20 The microneedles can also be used for aerosolization or delivery for example directly to a mucosal surface in the nasal or buccal regions or to the pulmonary system.

35 The microneedle devices disclosed herein also should be useful for controlling transport across tissues other than skin. For example, microneedles can  
25 be inserted into the eye across, for example, conjunctiva, sclera, and/or cornea, to facilitate delivery of drugs into the eye. Similarly, microneedles inserted into the  
40 eye can facilitate transport of fluid out of the eye, which may be of benefit for treatment of glaucoma. Microneedles may also be inserted into the buccal (oral),  
nasal, vaginal, or other accessible mucosa to facilitate transport into, out of, or  
45 across those tissues. For example, a drug may be delivered across the buccal  
30 mucosa for local treatment in the mouth or for systemic uptake and delivery. As another example, microneedle devices may be used internally within the body on,  
for example, the lining of the gastrointestinal tract to facilitate uptake of

orally-ingested drugs or the lining of blood vessels to facilitate penetration of drugs into the vessel wall. For example, cardiovascular applications include using microneedle devices to facilitate vessel distension or immobilization, similarly to a stent, wherein the microneedles/substrate can function as a "staple-like" device to penetrate into different tissue segments and hold their relative positions for a period of time to permit tissue regeneration. This application could be particularly useful with biodegradable devices. These uses may involve invasive procedures to introduce the microneedle devices into the body or could involve swallowing, inhaling, injecting or otherwise introducing the devices in a non-invasive or minimally-invasive manner.

(i). Biological Fluids for Withdrawal and Sensing

The microneedle devices are useful in the transport of biological fluids from within or across a variety of biological barriers including the skin (or parts thereof); the blood-brain barrier; mucosal tissue; blood vessels; lymphatic vessels; cell membranes; epithelial tissue; and endothelial tissue. The biological barriers can be in humans or other types of animals, as well as in plants, insects, or other organisms, including bacteria, yeast, fungi, and embryos. In preferred embodiments, biological fluids are withdrawn from skin, more preferably human skin, for minimally invasive diagnostic sensing.

Biological fluids useful with the devices described herein include blood, lymph, interstitial fluid, and intracellular fluid. A preferred biological fluid to be withdrawn or sensed is interstitial fluid.

A variety of analytes are routinely measured in the blood, lymph or other body fluids. Examples of typical analytes that can be measured include blood sugar (glucose), cholesterol, bilirubin, creatine, various metabolic enzymes, hemoglobin, heparin, hematocrit, vitamin K or other clotting factors, uric acid, carcinoembryonic antigen or other tumor antigens, and various reproductive hormones such as those associated with ovulation or pregnancy. Other substances or properties desirable to detect include lactate (important for athletes), oxygen, pH, alcohol, tobacco metabolites, and illegal drugs (important for both medical diagnosis and law enforcement).

In a preferred embodiment, interstitial fluids are removed from the dermis or epidermis across the stratum corneum to assay for glucose concentration, which is

5 useful in aiding diabetics in determining their required insulin dose.

*(ii). Feedback about Microneedle Penetration into Tissue*

10 The user of the microneedle device typically can determine if the microneedles have been properly inserted into the skin or other tissue through visual  
5 or tactile means, that is assessing whether the substrate has been pressed essentially flush to the tissue surface. A coloring agent can be used to enhance the visual feedback by indicating a color change triggered by biological fluid filling the  
15 collection chamber.

In a more complex embodiment, an electrical or chemical measurement is  
10 adapted to provide the feedback. For example, penetration can be determined by measuring a change in electrical resistance at the skin or other tissue, or a pH  
20 change. Alternately, needle-to-needle electrical resistance can be measured. In a preferred embodiment, the microneedle device includes a disposable cartridge containing the microneedles. In these devices, an LED (e.g., green light/red light) or  
15 liquid crystal display can be provided with the reusable portion of the device.

*(iii). Feedback about Withdrawal*

In a preferred embodiment, the microneedle device includes a feedback  
30 means so that the user can determine whether withdrawal and/or sensing has been completed. Representative feedback means include a sound, a color (change)  
20 indicator, or a change in the position or shape of a reservoir or collection chamber.

*(iv). Initiating and Controlling Fluid Withdrawal*

35 In a preferred embodiment, the microneedle device is capable of transporting molecules across or from the tissue at a useful rate. That rate will, of course, depend on the particular application. For assaying blood glucose, for example, the device  
25 preferably withdraws at least between about 5 and 10  $\mu$ l of interstitial fluid, preferably in about a minute or less time. For other applications, it may be desirable to apply the device to the barrier for several hours or more in order to monitor the  
40 changing blood plasma level of a particular analyte, such as an exogenous drug or hormone level, for example on a real-time basis or to obtain a time-averaged value.

45 30 The rate of withdrawal can be controlled by altering one or more of several design variables. For example, the amount of material flowing through the needles can be controlled by manipulating the volumetric through-capacity of a single device array, e.g., by using more or fewer microneedles, by increasing or decreasing the  
50

number or diameter of the bores in the microneedles, by filling at least some of the microneedle bores with a diffusion-limiting material, or by varying the driving force applied to the biological fluid. It is preferred, however, to simplify the manufacturing process by limiting the needle design to two or three "sizes" of microneedle arrays to accommodate, for example small, medium, and large volumetric flows, for which the withdrawal rate is controlled by other means.

The flow of biological fluid from the tissue through the microneedle and into the collection chamber or into contact with the sensors occurs due to capillary action, diffusion, or is induced using conventional mechanical pumps or nonmechanical driving forces, such as electroosmosis or electrophoresis, thermal gradients, or convection. For example, in electroosmosis, electrodes are positioned on the biological barrier surface, on one or more microneedles, and/or on the substrate adjacent the needles, to create a convective flow which carries charged ionic species and/or neutral molecules toward and/or through at least one of the microneedles.

Transportation of molecules through the microneedles can be controlled or monitored using, for example, various combinations of semi-permeable membranes, valves, pumps, sensors, actuators, and microprocessors. These components can be produced using standard manufacturing or microfabrication techniques. Actuators that may be useful with the microneedle devices disclosed herein include micropumps, microvalves, and positioners.

In preferred embodiments, the microneedle device is used with another mechanism that enhances the permeability of the biological barrier, such as by increasing cell membrane disruption, using electric fields, ultrasound, chemical enhancers, vacuum, viruses, pH, heat, and/or light. Chemical and/or physical enhancers can be applied to the biological barrier at the insertion site of the device before or during the withdrawal of the device, for example, to draw analyte closer to the skin surface.

(a). bulk flow extraction

A preferred microneedle device is shown in Figure 26 and described above. Microneedle device 620 preferably is operated as follows. After or simultaneously with the insertion of device 620 into, for example, the skin, manual pressure is applied to elastic cap 622 so as to deform (compress) it and reduce the interior

5 volume of elastic cap 622 and fluid collection chamber 616. The deformation causes gas within the cap and chamber to be purged from the device via one-way valve 624. As elastic cap 622 returns to its undeformed (uncompressed) shape, pressure is reduced within the interior volume, creating a pressure differential or vacuum, which causes the interstitial fluid, to be drawn through the microneedles 614 and into fluid collection chamber 616, until the pressure is equalized. Once in the collection chamber 616, the fluid sample can be analyzed by withdrawing device 620 from the skin, again depressing elastic cap 622, to force the sample out of the chamber either through the microneedles 614 or one-way valve 624, and, for example, onto a diagnostic strip or into a container adapted for the particular analytical method to be employed.

Other spring means can be adapted to cause a change in the volume, and thus internal pressure, of the collection chamber. For example, the fluid collection chamber can be adapted to have a movable, rigid top with fixed, rigid side walls, wherein the interface between the walls and top form a gas-tight seal (such as by using a gasket). The volume of the piston-like apparatus can be expanding by activating one or more compressed springs to move the top of the chamber as desired, thereby reducing the pressure in the collection chamber.

In an alternative embodiment, withdrawal can be initiated by activating an osmotic pump, as described, for example, in U.S. Patent No. 4,320,758 to Eckenhoff, which has been engineered to create a volume expansion/pressure reduction in the collection chamber to draw biological fluid to be drawn into the collection chamber.

In a preferred embodiment, withdrawal is conducted using a microneedle device fitted to a Luer-Lock syringe or similar conventionally-used devices that currently uses hypodermic needles in the barrier penetration method.

(b). diffusion-based extraction

One preferred embodiment uses diffusion to move the analyte fluid into the fluid collection chamber. A microneedle device using this method generally includes microneedle bores or pores, and/or a fluid collection chamber, that are filled with a diffusion medium, such as water, a saline solution, or a gel, which is physiologically compatible with the biological barrier tissue. Once the needle is inserted into the biological barrier (e.g., the skin), the diffusion medium contacts the



5 biological fluid. Typically, the diffusion medium in the microneedles initially  
contains none of the analyte to be measured; therefore, analyte at a higher  
concentration in the biological fluid diffuses into the diffusion medium in the  
10 microneedle until a detectable level of analyte is collected or measured. This should  
5 be achieved rapidly due to the very small size/length of the microneedles, thereby  
providing an analyte concentration for sensing that is rapidly response to that in the  
tissue.

15 (c). hybrid bulk flow/diffusion

In another embodiment, the microneedle device is designed to employ a  
10 combination of forces to drive the analyte into the collection chamber. A preferred  
hybrid design includes the preferred bulk flow microneedle device described above,  
20 but with two primary differences. First, the fluid collection chamber has no one-way  
valve and contains a fluid diffusion medium, preferably physiological saline.  
Second, a temporary barrier is interposed between the fluid collection chamber and  
25 the base of the microneedles. The temporary barrier can be a fracturable membrane,  
mechanical gate, or other means for containing the diffusion medium within the  
device until the barrier is broken or removed at a selected time to permit flow of  
molecules between the microneedles and fluid collection chamber.

30 In a preferred embodiment, the temporary barrier is an easily fracturable  
membrane. The device can function as follows: The microneedles first are inserted  
20 into the skin of the user. Then the user depresses the elastic cap, causing the fluid  
diffusion medium to fracture the membrane and fill the bores or pores of the  
microneedles and contact the biological fluid. After the user releases the elastic cap,  
35 it partially or fully returns to its undeformed shape, reducing the pressure in the  
interior and causing a mixture of the biological fluid and diffusion medium to be  
25 drawn through the microneedles and into fluid collection chamber. Once bulk fluid  
flow ceases, diffusion may continue until the concentration of biological fluid or  
analyte equilibrates. The mixture can be assayed as described herein, considering  
40 the dilution effect induced by the presence of the diffusion medium.

45 30 Any of these embodiments of the microneedle device can be adapted to  
function a series of micro-assays (e.g., as can be provided with an electronics  
package) or single assays (e.g., standard glucose strips).

5

*(v). Multi-Cartridge Microneedle Device*

10

A modification of the disposable, single use microneedle device utilizes a reusable portion providing the withdrawal force (e.g., suction) or electronics package in combination with a cartridge containing one or more, preferably a plurality, of single-use, disposable microneedle devices for collection and/or sensing. For example, the cartridge can be a circular disk having 10 or 12 microneedle arrays connected to a single-assay element, wherein the cartridge can be loaded into and unloaded from the reusable portion. The triggering device can, for example, be designed to move a new microneedle array into position, withdraw or sense the sample of biological fluid, and then eject or immobilize the used microneedle array. This type of reusable triggering device also can include a power source, such as a battery, used to operate a built-in measurement device, for example, for analyte measurement of interstitial fluids. In one embodiment, the devices are provided in a multipack (e.g., six or twelve pack) form of complete devices, that is, each device is fully sufficient for use. Individual devices can be separated as needed for each sensing measurement.

15

20

25

*c. Delivery of Energy*

30

Other than transport of drugs and biological molecules, the microneedles may be used to transmit or transfer other materials and energy forms, such as light, electricity, heat, or pressure. The microneedles, for example, could be used to direct light to specific locations within the body, in order that the light can directly act on a tissue or on an intermediary, such as light-sensitive molecules in photodynamic therapy.

35

The present invention will be further understood with reference to the following non-limiting examples.

40

**Example 1: Fabrication of Solid Silicon Microneedles**

45

A chromium masking material was deposited onto silicon wafers and patterned into dots having a diameter approximately equal to the base of the desired microneedles. The wafers were then loaded into a reactive ion etcher and subjected to a carefully controlled plasma based on fluorine/oxygen chemistries to etch very deep, high aspect ratio valleys into the silicon. Those regions protected by the metal mask remain and form the microneedles.

50

55

5 <100>-oriented, prime grade, 450-550  $\mu\text{m}$  thick, 10-15  $\Omega\text{-cm}$  silicon wafers  
(Nova Electronic Materials Inc., Richardson, TX) were used as the starting material.  
The wafers were cleaned in a solution of 5 parts by volume deionized water, 1 part  
10 30% hydrogen peroxide, and 1 part 30% ammonium hydroxide (J.T. Baker,  
5 Phillipsburg, NJ) at approximately 80°C for 15 minutes, and then dried in an oven  
(Blue M Electric, Watertown, WI) at 150°C for 10 minutes. Approximately 1000 Å  
15 of chromium (Mat-Vac Technology, Flagler Beach, FL) was deposited onto the  
wafers using a DC-sputterer (601 Sputtering System, CVC Products, Rochester,  
NY). The chromium layer was patterned into 20 by 20 arrays of 80  $\mu\text{m}$  diameter  
10 dots with 150  $\mu\text{m}$  center-to-center spacing using the lithographic process described  
below.

A layer of photosensitive material (1827 photoresist, Shipley, Marlborough,  
MA) was deposited onto the chromium layer covering the silicon wafers. A  
standard lithographic mask (Telic, Santa Monica, CA) bearing the appropriate dot  
25 array pattern was positioned on top of the photoresist layer. The wafer and  
photoresist were then exposed to ultraviolet (UV) light through the mask by means  
of an optical mask aligner (Hybralign Series 500, Optical Associates, Inc., Milpitas,  
CA). The exposed photoresist was removed by soaking the wafers in a liquid  
30 developer (354 developer, Shipley, Marlborough, MA) leaving the desired dot array  
of photoresist on the chromium layer. Subsequently, the wafers were dipped into a  
20 chromium etchant (CR-75; Cyantec Fremont, CA), which etched the chromium  
that had been exposed during the photolithography step, leaving dot arrays of  
35 chromium (covered with photoresist) on the surface of the silicon wafer. The  
photoresist still present on the chromium dots formed the masks needed for  
25 fabrication of the microneedles, described below.

40 The microneedles were fabricated using a reactive ion etching techniques  
based on the Black Silicon Method developed at the University of Twente. The  
patterned wafers were etched in a reactive ion etcher (700 series wafer/batch Plasma  
Processing System, Plasma Therm, St. Petersburg, FL) with means for ensuring  
45 good thermal contact between the wafers and the underlying platen (Apiezon N, K.J.  
Lesker, Clairton, PA). The wafers were etched using the following gases and  
30 conditions:  $\text{SF}_6$  (20 standard cubic centimeters per minute) and  $\text{O}_2$  (15 standard  
cubic centimeters per minute) at a pressure of 150 mTorr and a power of 150 W for  
50

5 a run time of approximately 250 minutes. These conditions caused both deep  
vertical etching and slight lateral underetching. By controlling the ratio of flow rates  
of the SF<sub>6</sub> and O<sub>2</sub> gases used to form the plasma, the aspect ratio of the microneedles  
10 could be adjusted. The regions protected by the chromium masks remained and  
5 formed the microneedles. Etching was allowed to proceed until the masks fell off  
due to underetching, resulting in an array of sharp silicon spikes.

**Example 2: Transdermal Transport Using Solid Microneedles**

15 To determine if microfabricated microneedles could be used to enhance  
transdermal drug delivery, arrays of microneedles were made using a deep plasma  
10 etching technique. Their ability to penetrate human skin without breaking was  
tested and the resulting changes in transdermal transport were measured.

20 Arrays of microneedles were fabricated having extremely sharp tips (radius  
of curvature less than 1 μm), and are approximately 150 μm long. Because the skin  
surface is not flat due to dermatoglyphics and hair, the full length of these  
25 15 microneedles will not penetrate the skin. All experiments were performed at room  
temperature (23±2 °C).

The ability of the microneedles to pierce skin without breaking was then  
30 tested. Insertion of the arrays into skin required only gentle pushing. Inspection by  
light and electron microscopy showed that more than 95% of microneedles within an  
20 array pierced across the stratum corneum of the epidermis samples. Moreover,  
essentially all of the microneedles that penetrated the epidermis remained intact. On  
35 those very few which broke, only the top 5-10 μm was damaged. Microneedle  
arrays could also be removed without difficulty or additional damage, as well as re-  
inserted into skin multiple times.

25 To quantitatively assess the ability of microneedles to increase transdermal  
transport, calcein permeability of human epidermis with and without inserted  
microneedle arrays was measured. Calcein crosses skin very poorly under normal  
40 circumstances and therefore represents an especially difficult compound to deliver.  
As expected, passive permeability of calcein across unaltered skin was very low,  
45 30 indicating that the epidermis samples were intact.

Insertion of microneedles into skin was capable of dramatically increasing  
permeability to calcein. When microneedles were inserted and left embedded in the  
50 skin, calcein permeability was increased by more than 1000-fold. Insertion of

microneedles for 10 s, followed by their removal, yielded an almost 10,000-fold increase. Finally, insertion of a microneedle array for 1 h, followed by its removal, increased skin permeability by about 25,000-fold. Permeabilities for skin with microneedles inserted and then removed are higher than for skin with microneedles remaining embedded probably because the microneedles themselves or the silicon plate supporting the array may block access to the microscopic holes created in the skin. Light microscopy showed that the holes which remained in the skin after microneedles were removed were approximately 1  $\mu\text{m}$  in size.

To confirm *in vitro* experiments which showed that skin permeability can be significantly increased by microneedles, studies were conducted with human volunteers. They indicated that microneedles could be easily inserted into the skin of the forearm or hand. Moreover, insertion of microneedle arrays was never reported to be painful, but sometimes elicited a mild "wearing" sensation described as a weak pressure or the feeling of a piece of tape affixed to the skin. Although transport experiments were not performed *in vivo*, skin electrical resistance was measured before and after microneedle insertion. Microneedles caused a 50-fold drop in skin resistance, a drop similar to that caused by the insertion of a 30-gauge "macroneedle." Inspection of the site immediately after microneedle insertion showed no holes visible by light microscopy. No erythema, edema, or other reaction to microneedles was observed over the hours and days which followed. This indicates that microneedle arrays can permeabilize skin in human subjects in a non-painful and safe manner.

#### Example 3: Fabrication of Silicon Microtubes

Three-dimensional arrays of microtubes were fabricated from silicon, using deep reactive ion etching combined with a modified black silicon process in a conventional reactive ion etcher. The fabrication process is illustrated in Figures 4a-d. First, arrays of 40  $\mu\text{m}$  diameter circular holes 32 were patterned through photoresist 34 into a 1  $\mu\text{m}$  thick  $\text{SiO}_2$  layer 36 on a two inch silicon wafer 38 (Figure 4a). The wafer 38 was then etched using deep reactive ion etching (DRIE) (Laermer, et al., "Bosch Deep Silicon Etching: Improving Uniformity and Etch Rate for Advanced MEMS Applications," *Micro Electro Mechanical Systems*, Orlando, Florida, USA (Jan. 17-21, 1999)), in an inductively coupled plasma (ICP) reactor to etch deep vertical holes 40. The deep silicon etch was stopped after the holes 40 are

approximately 200  $\mu\text{m}$  deep into the silicon substrate 38 (Figure 4b) and the photoresist 34 was removed. A second photolithography step patterned the remaining  $\text{SiO}_2$  layer 36 into circles concentric to the holes, thus leaving ring shaped oxide masks 34 surrounding the holes (Figure 4c). The photoresist 34 was then removed and the wafer 38 was again deep silicon etched, while simultaneously the holes 40 were etched completely through the wafer 38 (inside the  $\text{SiO}_2$  ring) and the silicon was etched around the  $\text{SiO}_2$  ring 38 leaving a cylinder 42 (Figure 4d). The resulting tubes were 150  $\mu\text{m}$  in height, with an outer diameter of 80  $\mu\text{m}$ , an inner diameter of 40  $\mu\text{m}$ , and a tube center-to-center spacing of 300  $\mu\text{m}$ .

#### 10 Example 4: Micromold Fabrication of Metal Microtubes

Hollow metal microtubes were prepared without dry silicon etching, using a thick, photo-defined mold of epoxy. The sequences are illustrated in Figures 5a-e. First, a thick layer of SU-8 epoxy 44 was spin cast onto a silicon or glass substrate 46 that had been coated with 30 nm of titanium 48, the sacrificial layer. Arrays of cylindrical holes 49 were then photolithographically defined through an epoxy layer 44, typically 150  $\mu\text{m}$  thick (Figure 5a). The sacrificial layer then was partially removed using a wet etching solution containing hydrofluoric acid and water at the bottom of the cylindrical holes in the SU-8 photoresist 46 (Figure 5b). A seed layer of Ti/Cu/Ti (30 nm/200 nm/30 nm) 39 was then conformally DC sputter-deposited onto the upper surface of the epoxy mold and onto the sidewalls of the cylindrical holes 49 (Figure 5c). As shown in Figure 5c, the seed layer 39 was electrically isolated from the substrate. Subsequently, NiFe was electroplated onto the seed layer 39 (Figure 5d), the epoxy 44 was removed from the substrate, and the surrounding epoxy 44 was removed (Figure 5e). The resulting microtubes are 200  $\mu\text{m}$  in height with an outer diameter of 80  $\mu\text{m}$ , an inner diameter of 60  $\mu\text{m}$ , and a tube center-to-center spacing of 150  $\mu\text{m}$ . The holes in the interior of the microtubes protrude through the base metal supporting the tubes.

#### 15 Example 5: Micromold Fabrication of Tapered Microneedles

A micromold having tapered walls was fabricated by molding a preexisting 3-D array of microneedles, i.e. the mold-insert, and subsequently removing the mold insert. The micromold was then surface plated in a manner similar to that for the

5 microtubes described in Example 4. The fabrication sequence is illustrated in Figures 6a-d.

10 First, an array of solid silicon microneedles 50 were prepared as described in Henry, et al., "Micromachined Needles for the Transdermal Delivery of Drugs,"  
5 *Micro Electro Mechanical Systems*, Heidelberg, Germany, Jan. 26-29, pp. 494-98 (1998). Then, a layer of epoxy 52 (SU-8) was spin cast onto the microneedle array to completely blanket the array (Figure 6a). The epoxy 52 settled during pre-bake to  
15 create a planar surface above the tips of the microneedles 50. The epoxy 52 was then fully pre-baked, photolithographically cross-linked, and post-baked.

20 Then, the upper surface of the epoxy 52 was etched away using an  $O_2/CHF_3$  plasma until approximately 1 to 2  $\mu m$  of the needle tips 54 were exposed, protruding from the epoxy 52 (Figure 6b). The silicon was then selectively removed by using a  $SF_6$  plasma (Figure 6c). The remaining epoxy mold 52 provided a negative of the microneedles with a small diameter hole where the tip of the silicon needle  
25 15 protruded. After the removal of the silicon, a seed layer of Ti-Cu-Ti 54 was conformally sputter-deposited onto the top and sidewalls of the epoxy micromold 52. Following the same process sequence as described in Example 4, NiFe was then electroplated onto the seed layer 54 (Figure 6c). Finally, the epoxy was removed  
30 using an  $O_2/CHF_3$  plasma, leaving a 20 x 20 array of NiFe hollow metal  
20 microneedles 54 (Figure 6d). The microneedles 54 were 150  $\mu m$  in height with a base diameter of 80  $\mu m$ , a tip diameter of 10  $\mu m$ , and a needle-to-needle spacing of  
35 150  $\mu m$ .

40 Micromold-based microneedles also have been successfully manufactured using a process in which the epoxy mold material was replaced with PDMS. In this  
25 case, it was possible to remove the mold from the mold insert, as well as the microneedles from the mold, using only physical techniques such as peeling. This approach advantageously requires no dry etching and allows one to reuse both the mold and the mold insert.

45 **Example 6: Micromold Fabrication of Tapered Microneedles Using**  
30 **Laser-Formed Molds**

50 A micromold having tapered walls was fabricated by use of laser ablation techniques, as shown in Figures 7a-d. A laser-ablatable polymer sheet 60 such as KAPTON<sup>TM</sup> polyimide approximately 150  $\mu m$  in thickness was optionally

5 laminated to a thin (10-30  $\mu\text{m}$ ) metal sheet 62 such as titanium (Figure 7a). A tapered hole 64 was formed in the metal/polymer laminate 60/62 using a laser technique such as excimer laser ablation (Figure 7b). The entry hole of the laser  
10 spot was on the metal side 62, and a through hole was made through both the metal sheet and the polymer film. The through hole 64 was tapered in combination with either defocusing or appropriate substrate motion to create a taper such that the wide end of the hole 64 (typically 40-50  $\mu\text{m}$ ) was on the metal side 62 and the narrow end of the hole 64 (typically 10-20  $\mu\text{m}$ ) was on the polymer 60 side. A thin layer of metal 66, e.g. titanium, of thickness 0.1  $\mu\text{m}$  was then deposited, e.g., using a sputter-  
15 deposition technique, in such a way that the metal 66 deposited on the metal film side and coated the polymer sidewalls, but did not coat the polymer 60 side of the laminate (Figure 7c). Electrodeposition of metal 68, e.g., gold, to a thickness of 1 to 5  $\mu\text{m}$  was then performed on the titanium-coated metal surface 66, and polymer sidewalls curved section of 60 next to 64. Finally, the polymer 60 was removed,  
20 using e.g. an oxygen plasma, to form the completed microneedles (Figure 7d).

Alternate polymer removal methods, such as thermal, solvent, aqueous, or photo-degradation followed by solvent or aqueous removal, are also possible if the polymer material is chosen appropriately (e.g., a photoresist resin).

#### 30 **Example 7: Formation of Microneedles by Embossing**

20 Formation of a microneedle by embossing is shown in Figures 8a-f. A polymeric layer 70 (Figure 8a) is embossed by a solid microneedle or microneedle array 72 (Figure 8b). The array 72 is removed (Figure 8c), and the layer 70 is etched from the non-embossed side 74 until the embossed cavity 76 is exposed (Figure 8d). A metallic layer 78 is then deposited on the embossed side and the sidewalls, but not on the non-embossed side 74 (Figure 8e). This layer 78 is optionally thickened by  
35 electrodeposition of an additional metal layer 80 on top of it (Figure 8e). The polymer layer 70 is then removed to form the microneedles 78/80 (Figure 8f).

#### 40 **Example 8: Transdermal Application of Hollow Microneedles**

45 The bore of hollow microneedles must provide fluid flow with minimal clogging in order to be suitable to transport material, such as in transdermal drug delivery. Therefore, microneedles and microtubes were evaluated to determine their suitability for these functions.



5 Hollow metal and silicon microneedles, produced as described in Examples  
3-5, were inserted through human skin epidermis with no apparent clogging of the  
needle bores. Scanning electron microscopy of a hollow metal (NiFe) microneedle  
10 penetrating up through the underside of human epidermis showed the microneedle  
5 remains intact, with the tip free of debris. Similarly, silicon microneedles, metal  
microneedles, and metal microtubes were successfully inserted through human skin.  
Also, the hollow microneedles were shown to permit the flow of water through their  
15 bores.

**Example 9: Drug Transport Through Microneedles Inserted Into Skin**

10 Studies were performed with solid and hollow microneedles to demonstrate  
transport of molecules and fluids. As shown in Table 1, transport of a number of  
20 different compounds across skin is possible using microneedles. These studies were  
performed using either solid silicon microneedles or using hollow silicon  
microneedles made by methods described in this patent. Transport was measured  
25 across human cadaver epidermis *in vitro* using Franz diffusion chambers at 37 °C  
using methods described in Henry, et al., "Microfabricated microneedles: A novel  
method to increase transdermal drug delivery" *J. Pharm. Sci.* 87: 922-25 (1998).

The transdermal delivery of calcein, insulin, bovine serum albumin ("BSA"),  
30 and nanoparticles was measured. Delivery refers to the ability to transport these  
20 compounds from the stratum corneum side of the epidermis to the viable epidermis  
side. This is the direction of transport associated with delivering drugs into the  
body. Removal of calcein was also measured. Removal refers to the ability to  
35 transport calcein from the viable epidermis side of the epidermis to the stratum  
corneum side. This is the direction of transport associated with removing from the  
25 body compounds found in the body, such as glucose.

40 In all cases shown in Table 1, transport of these compounds across skin  
occurred at levels below the detection limit when no needles were inserted into the  
skin. Intact skin provides an excellent barrier to transport of these compounds. In  
all cases examined, when solid microneedles were inserted into the skin and left in  
45 30 place, large skin permeabilities were measured, indicating that the microneedles had  
created pathways for transport across the skin. Furthermore, in all cases, when solid  
microneedles were inserted into the skin and then removed, even greater skin  
permeabilities resulted. Finally, when hollow microneedles were inserted into the  
50

skin and left in place, still greater skin permeabilities resulted for those compounds tested. These studies show that microneedles can dramatically increase skin permeability and can thereby increase transport of a number of different compounds across the skin. They also shows that when solid microneedles are used, a preferred embodiment involves inserting and then removing microneedles, rather than leaving them in place. They also shows that using hollow microneedles are a preferred embodiment over the use of solid microneedles.

**Table 1: Transport of Drugs Through Microneedles Inserted Into Skin**

Compound	No needles	Solid needles inserted	Solid needles inserted and removed	Hollow needles inserted
Calcein delivery	**	$4 \times 10^{-3}$	$1 \times 10^{-2}$	$1 \times 10^{-1}$
Calcein removal	**	$2 \times 10^{-3}$	$1 \times 10^{-2}$	n.a.
Insulin delivery	**	$1 \times 10^{-3}$	$1 \times 10^{-2}$	n.a.
BSA delivery	**	$9 \times 10^{-4}$	$8 \times 10^{-3}$	$9 \times 10^{-2}$
Nanoparticle delivery	**	n.a.	$3 \times 10^{-3}$	n.a.

\*\* means that the transport was below the detection limit.

n.a. means that the data are not available.

Nanoparticles were made of latex with a diameter of approximately 100 nm.

#### Example 10: Flow of Water Through Hollow Microneedles

To demonstrate that fluid can be forced through hollow microneedles at meaningful rates, the flow rate of water through a microneedle array was measured as a function of pressure. The array used contained 100 hollow silicon microneedles having an inner diameter of 50  $\mu\text{m}$  and an outer diameter of 80  $\mu\text{m}$ . The results, which are shown in Table 2, demonstrate that significant flow rates of water through microneedles can be achieved at modest pressures. The measured flow rates are comparable to flow rates through hypodermic needles attached to syringes.

**Table 2: Flow Rate of Water Through Hollow Silicon Microneedles as a Function of Applied Pressure**

Pressure (psi)	Flow rate (ml/min)
1.0	16
1.5	24
2.0	31
2.5	38
3.0	45

5

Modifications and variations of the methods and devices described herein will be obvious to those skilled in the art from the foregoing detailed description. Such modifications and variations are intended to come within the scope of the appended claims.

10

15

20

25

30

35

40

45

50

55

## Claims

5

10

15

20

25

30

35

40

45

50

55

5

We claim:

10

1. A device for transport of a material across or into a biological barrier comprising

15

a) a plurality of hollow microneedles each having a base end and a tip, with at least one hollow pathway disposed at or between the base end and the tip,

b) a substrate to which the base ends of the microneedles are attached or integrated, and

c) at least one reservoir which is in connection with the base ends of at least one of the microneedles, either integrally or separably until the moment of use,

20

wherein the volume or amount of material to be transported can selectively be altered.

2. The device of claim 1 wherein the reservoir is formed of a material which is deformable.

3. The device of claim 2 wherein the reservoir is elastic.

25

4. The device of claim 1 wherein the reservoir further comprises a therapeutic, prophylactic, or diagnostic agent.

30

5. The device of claim 4 wherein the agent is selected from the group consisting of peptides, proteins, carbohydrates, nucleic acid molecules, lipids, organic molecules, biologically active inorganic molecules, and combinations thereof.

35

6. The device of claim 1 further comprising a plunger movably secured to the substrate, wherein the plunger can compress the reservoir.

7. The device of claim 6 further comprising a spring engaged with the plunger.

40

8. The device of claim 1 wherein the diameter of the microneedles is between about 10  $\mu\text{m}$  and about 200  $\mu\text{m}$ .

9. The device of claim 1 wherein the length of the microneedles is between about 100  $\mu\text{m}$  and 1 mm.

45

10. The device of claim 1 wherein the microneedles are adapted to provide an insertion depth of less than about 100 to 150  $\mu\text{m}$ .

11. The device of claim 1 comprising a three dimensional array of microneedles.

50

12. The device of claim 1 further comprising an adhesive material for

55

5                   securing the device during delivery.

13. The device of claim 1 wherein the reservoir is a syringe or pump  
connected to the substrate.

10                  14. The device of claim 1 comprising an attachment means for securing the  
device to a syringe or pump.

15                  15. The device of claim 13 wherein the substrate is adapted to removably  
connect to a standard or Luer-lock syringe.

16. The device of claim 1 further comprising a sealing mechanism which is  
interposed between the reservoir and the substrate or which is secured to the tips of  
the microneedles.

20                  17. The device of claim 16 wherein the sealing mechanism is a fracturable  
barrier interposed between the reservoir and the substrate.

18. The device of claim 1 further comprising a means for providing  
feedback to indicate that delivery has been initiated or completed.

25                  19. The device of claim 1 wherein at least the microneedles are  
manufactured for single use as a disposable reagent.

20. The device of claim 1 wherein the device further comprises means for  
stopping delivery.

30                  21. The device of claim 1 further comprising an osmotic pump to move  
agent.

35                  22. The device of claim 1 wherein the microneedle is at an angle other than  
perpendicular to the substrate.

23. The device of claim 1 wherein the substrate is formed of a flexible  
material.

24. The device of claim 1 comprising multiple compartments or reservoirs.

40                  25. The device of claim 24 wherein the compartments or reservoirs can be  
connected or in communication with each other.

45                  26. The device of claim 24 wherein one or more reservoirs contain a solid  
formulation and one or more reservoirs contains a liquid carrier for the solid  
formulation.

27. The device of claim 1 in a packaging that protects the device from  
damage and/or contamination.

50                  28. The device of claim 1 further comprising a removable liner that covers

5

the microneedle tips and that can be used to protect and/or seal access to or from the microneedles.

10

29. The device of claim 1 wherein the device comprises rate control means, which can be used to regulate the rate or extent at which materials flow through the microneedles.

15

30. The device of claim 29 wherein the rate control means is a membrane at one end of the microneedles through which agent or fluid must pass.

31. The device of claim 29 wherein the rate control means is a material positioned within the hollow pathway of the microneedles.

20

32. The device of claim 1 comprising multiple microneedle arrays in combination with means for accessing one or more arrays at a time.

33. The device of claim 1 comprising means for preventing undesired contact with or use of the microneedles.

25

34. The device of claim 33 wherein the means is packaging that covers the microneedles.

35. The device of claim 33 wherein the means is packaging that shears off the microneedles, or tips thereof, after use.

30

36. The device of claim 33 wherein the means are materials which are delivered into the hollow pathways to seal the microneedles.

37. A method for delivering a therapeutic, prophylactic or diagnostic agent across or into tissue comprising

35

inserting into the tissue one or more microneedles that are in fluid connection with at least one reservoir containing the agent to be delivered, and

reducing the volume or amount of the agent in the reservoir by driving the agent through at least one of the microneedles.

40

38. The method of claim 37 wherein the reservoir is formed of a material which is deformable.

39. The method of claim 37 wherein the volume or amount of the agent in the reservoir is reduced by depressing a plunger that is movably secured to the substrate.

45

40. A kit of parts for delivering a therapeutic, prophylactic or diagnostic agent across or into tissue comprising

50

(a) one or more microneedle devices which comprise a plurality of hollow

55

microneedles. a substrate to the microneedles are attached or integrated, and at least one reservoir which is selectably in communication with the microneedles. wherein at least one of the reservoirs contains the therapeutic, prophylactic or diagnostic agent to be delivered; and

(b) a triggering device which is adapted to activate delivery of the agent from reservoir through the microneedles of one of the devices at a time.

41. A device for collecting a sample of a biological fluid comprising:

(a) one or more hollow or porous microneedles having a base end and a tip,

(b) a substrate to which the base of the microneedle is attached or integrated,

and

(c) at least one collection chamber which is selectably in fluid communication with the base end of the microneedle.

42. The device of claim 41 further comprising

(d) a means for inducing transport of the biological fluid or component thereof into the collection chamber.

43. The device of claim 42 wherein the pressure within the collection chamber can selectively be reduced.

44. The device of claim 43 wherein the pressure reduction is induced by expanding the internal volume of the collection chamber.

45. The device of claim 44 wherein the collection chamber is a standard or Luer-lock syringe.

46. The device of claim 43 wherein the collection chamber comprises an upper portion which is formed of a material which is deformable.

47. The device of claim 43 further comprising a plunger movably secured to the substrate, wherein the plunger can deform the collection chamber.

48. The device of claim 46 further comprising a one-way valve.

49. The device of claim 41 wherein the collection chamber comprises a plurality of compartments.

50. The device of claim 41 comprising a three dimensional array of microneedles.

51. The device of claim 41 further comprising an adhesive material for securing the device to the biological barrier surface during fluid withdrawal or sensing.



5

52. The device of claim 41 further comprising a means for controlling flow through the microneedle.

10

53. The device of claim 42 wherein the means for controlling flow is a fracturable or removable barrier which is interposed between the collection chamber and base of the microneedle.

15

54. The device of claim 41 further comprising a sensor in communication with the collection chamber.

55. A device for sensing an analyte in a biological fluid, the device comprising:

20

(a) one or more microneedles having a base end and a tip,

(b) a substrate to which the base of the microneedle is attached or integrated,

(c) at least one sensor which is selectably in communication with the microneedle.

25

56. The device of claim 55 wherein the sensor comprises a chemical or biochemical agent that react with the analyte, and electrochemical or optical transducers which measure the reaction of the agent and analyte.

30

57. The device of claim 56 wherein the agent is an enzyme selected from the group consisting of glucose oxidase, glucose dehydrogenase, and combinations thereof.

35

58. The device of claim 55 further comprising an electronics package in communication with the sensor.

59. The device of claim 55 for insertion of the microneedles in skin and sensing of glucose.

40

60. A device for sensing an analyte in a biological fluid, the device comprising:

(a) one or more microneedles having a base end and a tip,

(b) a substrate to which the base of the microneedle is attached or integrated,

wherein at least one of the microneedles is or comprises a sensor.

45

61. The device of claim 60 wherein the sensor comprises a chemical or biochemical agent that react with the analyte, and electrochemical or optical transducers which measure the reaction of the agent and analyte.

50

55

5

62. The device of claim 60 further comprising an electronics package in communication with the sensor.

10

63. The device of claim 60 for insertion of the microneedles in skin and sensing of glucose.

64. The device of claim 41 wherein the collection chamber is adapted to receive and use glucose strips.

15

65. The device of claim 41 wherein the microneedle is hollow and comprises at least one opening in the side of the microneedle.

20

66. The device of claim 41 wherein the microneedle has a hollow bore containing a material to modulate the flow of biological fluid through the microneedles into the collection chamber.

25

67. A method for collecting a sample of a biological fluid or analyte therein, comprising the steps:

30

providing a device comprising (i) one or more hollow or porous microneedles having a base end and a tip, (ii) a substrate to which the base of the microneedle is attached or integrated, (iii) at least one collection chamber which is selectably in fluid connection with the base end of the microneedle, and (iv) a means for inducing transport of the biological fluid or component thereof into the collection chamber;

35

inserting the microneedles into a biological barrier comprising biological fluid; and

triggering the induction means to permit the transport of the biological fluid or a component thereof through the microneedles and into the collection chamber.

40

68. The method of claim 67 wherein the induction means is selected from capillary action, diffusion, mechanical pumps, electroosmosis, electrophoresis, convection, and combinations thereof.

45

69. The method of claim 67 wherein the induction means utilizes a pressure gradient in which the pressure within the microneedles and/or collection chamber is less than the pressure of the biological fluid adjacent the tip of the microneedle.

50

70. The method of claim 67 wherein the analyte to be collected or sensed is selected from the group consisting of glucose, cholesterol, bilirubin, creatine, metabolic enzymes, hemoglobin, heparin, clotting factors, uric acid, tumor antigens, reproductive hormones, oxygen, pH, alcohol, tobacco metabolites, and illegal drugs.

55

5

71. A method sensing an analyte in a biological fluid, comprising the steps:

10

(a) providing a device comprising (i) one or more hollow or porous microneedles having a base end and a tip, (ii) a substrate to which the base of the microneedle is attached or integrated, and (iii) at least one sensor which is in communication with one or more of the microneedles;

15

(b) inserting the microneedles into a biological barrier comprising biological fluid; and

(c) contacting the sensor with the biological fluid.

20

72. The method of claim 71 wherein the device further comprises (iv) at least one collection chamber which is selectably in fluid connection with the base end of the microneedle, and (v) a means for inducing transport of the biological fluid or component thereof into the collection chamber, and

25

wherein, after step (b), the induction means is triggered to draw the biological fluid or a component thereof through the microneedles and into the collection chamber.

30

73. The method of claim 72 wherein the induction means utilizes a pressure gradient in which the pressure within the microneedles and/or collection chamber is less than the pressure of the biological fluid adjacent the tip of the microneedle.

35

74. The method of claim 73 wherein the pressure gradient is created by increasing the volume within the collection chamber.

40

75. The method of claim 71 wherein the analyte to be collected or sensed is selected from the group consisting of glucose, cholesterol, bilirubin, creatine, metabolic enzymes, hemoglobin, heparin, clotting factors, uric acid, tumor antigens, reproductive hormones, oxygen, pH, alcohol, tobacco metabolites, and illegal drugs.

45

76. The method of claim 27 or 31 for sensing glucose wherein the biological barrier is human skin.

50

77. A device for transport of material or energy across or into an elastic biological barrier comprising

a microneedle having a tip end and a base end,

a substrate connected to the base end of the microneedle, and

a means for improving penetration of the biological barrier by the

microneedle.

55

5

78. The device of claim 77 wherein the biological barrier is human or other mammalian skin.

10

79. The device of claim 77 wherein the means comprises one or more extensions (i) interposed between the substrate and the base end of the microneedle or (ii) extending from the side of the substrate distal to the base end of the microneedle.

15

80. The device of claim 79 wherein the extension is between about 500  $\mu\text{m}$  and about 10 mm in height.

81. The device of claim 79 wherein the extension has a cross-sectional dimension of at least about 200  $\mu\text{m}$ .

20

82. The device of claim 79 wherein the extension includes an array of microneedles extending therefrom.

83. The device of claim 79 wherein the extension is composed of a material which is different from the material forming the microneedles.

25

84. The device of claim 79 wherein the microneedle is hollow and wherein the extension includes at least one aperture in communication with the bore of the microneedle.

30

85. The device of claim 79 further comprising a rigid surface positioned apart from an array of the microneedles and oriented to contact an elastic biological barrier substantially at the same time as the microneedles when the microneedles are applied to the barrier.

35

86. The device of claim 77 wherein the substrate is curved.

87. The device of claim 77 comprising a plurality of microneedles of varying lengths.

40

88. The device of claim 87 comprising four or more microneedles wherein the tip ends of the microneedles collectively define a curvilinear surface.

45

89. The device of claim 77 comprising a plurality of hollow microneedles in a linear array, wherein the substrate is mounted on a holder having one or more apertures through the holder in communication with the microneedles.

90. The device of claim 77 wherein the substrate is flexible.

50

91. The device of claim 90 wherein the substrate is deformable by fluid pressure or mechanical means.

55

5

92. The device of claim 91 wherein the substrate is mounted onto a flexible membrane bubble.

10

93. The device of claim 92 further comprising a second membrane bubble positioned to define a chamber between the flexible membrane bubble and the second membrane bubble.

15

94. The device of claim 93 wherein the chamber contains molecules which flow through the microneedle.

95. The device of claim 94 wherein the molecules are drug molecules.

96. The device of claim 77 wherein the means reduces the elasticity of the biological barrier.

20

97. The device of claim 96 wherein the means physically manipulates the biological barrier to present a more rigid surface in the area of the biological barrier to be penetrated by the microneedle.

25

98. The device of claim 97 wherein the manipulation is selected from the group consisting of stretching, pulling, pinching, and a combination thereof.

99. The device of claim 98 wherein the manipulation includes pulling by reducing the atmospheric pressure over the area of the biological barrier to be penetrated by the microneedles.

30

100. The device of claim 99 further comprising a body portion defining a first vacuum region and a second vacuum region.

wherein an array of microneedles separates the first and second regions.

35

101. The device of claim 100 wherein the body portion comprises an annular ring which holds the microneedles.

40

102. The device of claim 101 wherein the microneedle is hollow and wherein the body portion further comprises a means for attachment to a syringe, a conduit for connection to a vacuum pump, or both.

103. The device of claim 98 wherein the means for physically manipulating comprises a stretching cone or expandable ring around the microneedles.

45

104. The device of claim 98 wherein the means comprises a body portion from which a plurality of stretching elements are pivotally attached.

105. The device of claim 104 wherein the stretching elements have ends provided with a non-slip feature for engagement with the biological barrier.

50

106. The device of claim 98 wherein the means comprises jaws for pinching

55

5 a portion of the biological barrier for contact with the microneedles.

107. The device of claim 97 wherein the means comprises an adhesive film applied over the area of the biological barrier to be penetrated by the microneedle.

10 108. The device of claim 79 wherein the means for improving penetration creates holes in the stratum corneum, wherein the microneedle can be inserted into the holes.

15 109. The device of claim 108 wherein the means for creating holes is selected from the group consisting of thermal ablation, high pressure fluid puncturing, cryoablation, and application of degradation agents.

20 110. The device of claim 77 wherein the means for improving penetration accelerates the tips of the microneedles into the biological barrier, accelerates the biological barrier into contact with the tips of the microneedles, or a combination thereof.

25 111. The device of claim 110 wherein the means for accelerating the tip of the microneedle comprises releasing a spring or gas under compression.

112. The device of claim 77 wherein a lubricating material is incorporated into or coated onto the microneedle.

30 113. The device of claim 77 further comprising a collar to limit the depth of microneedle penetration.

114. The device of claim 77 further comprising a means for attaching the device to the skin of a patient, wherein the means is not the microneedle.

35 115. The device of claim 114 wherein the means is an adhesive film.

116. The device of claim 114 wherein the means is an arm band.

40 117. The device of claim 77 wherein the microneedle is hollow and wherein the device further comprises a reservoir selectably in communication with the hollow microneedle.

118. The device of claim 77 wherein the means for enhancing penetration comprises an apparatus for vibrating the microneedle.

45 119. The device of claim 118 wherein the apparatus comprises a piezoelectric transducer or an electromechanical actuator.

120. A kit of parts for use in transport of material or energy across or into an elastic biological barrier, comprising

50 (i) a device comprising one or more microneedles having a tip end and a

5

base end, and a substrate connected to the base end of the microneedle, and

(ii) an adhesive film or barrier-tightening chemical, either of which can be applied over an area of the biological barrier to be penetrated by the microneedle.

10

121. A method for transport of material or energy across or into an elastic biological barrier comprising using the device of any of claims 77-119.

15

122. The device of any of claims 1-36 and 41-66 further comprising a means for improving penetration of the biological barrier by the microneedles.

20

25

30

35

40

45

50

55

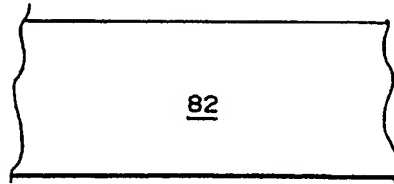


FIG. 1A

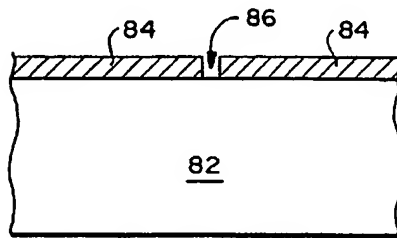


FIG. 1B

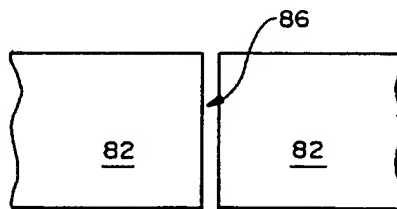


FIG. 1C

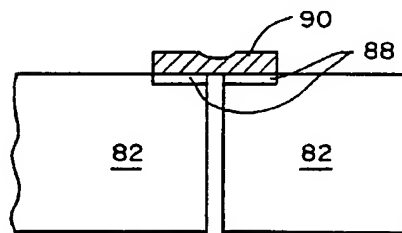


FIG. 1D

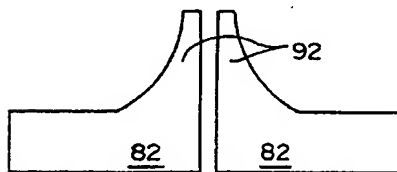


FIG. 1E



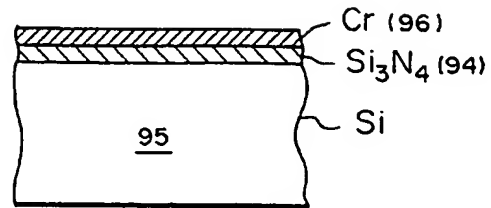


FIG. 2A

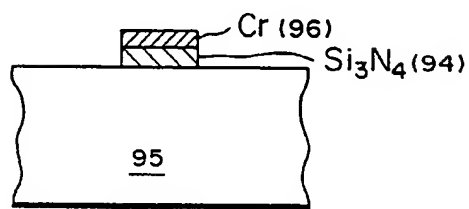


FIG. 2B

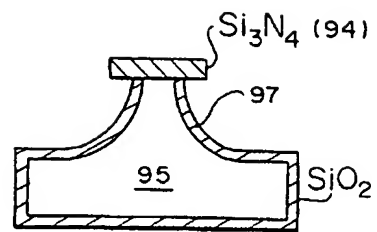


FIG. 2E

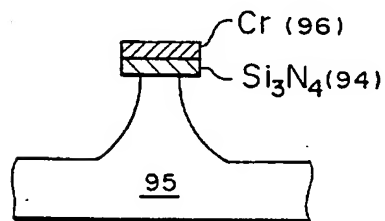


FIG. 2C

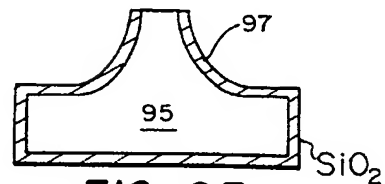


FIG. 2F

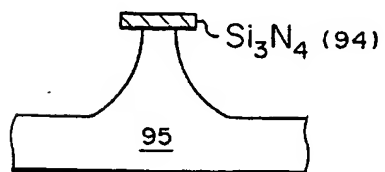


FIG. 2D

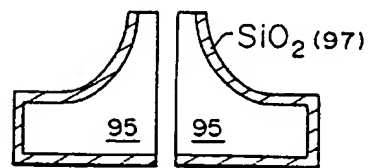


FIG. 2G

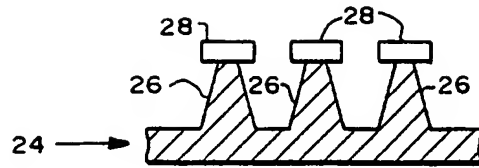


FIG. 3A

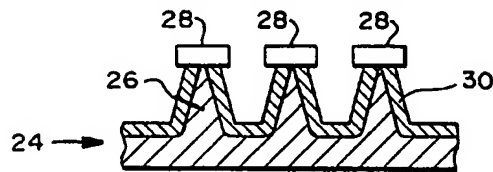


FIG. 3B

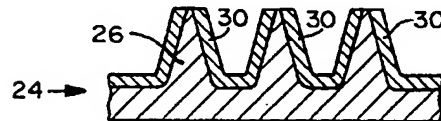


FIG. 3C



FIG. 3D

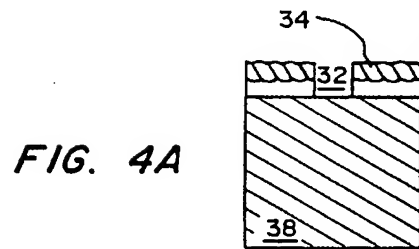


FIG. 4A

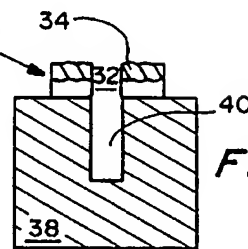


FIG. 4C

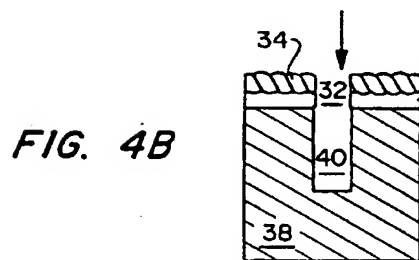


FIG. 4B

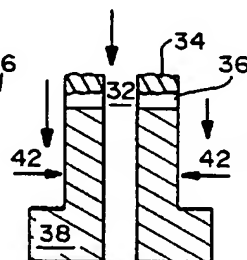
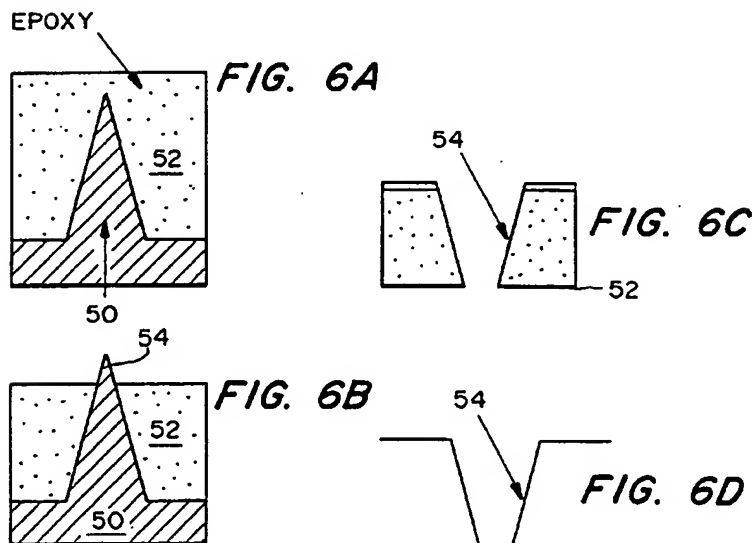
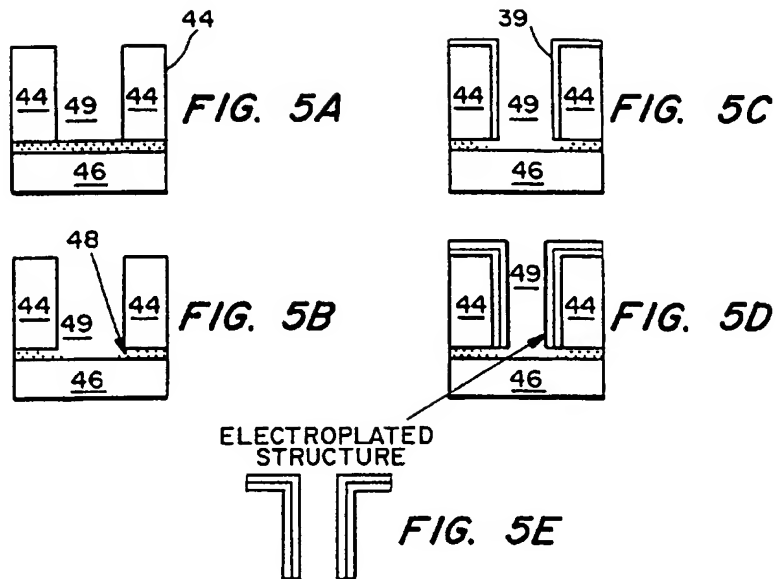


FIG. 4D



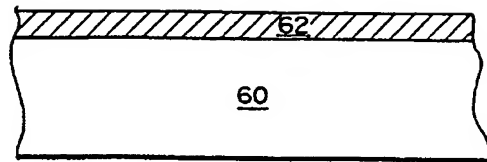


FIG. 7A

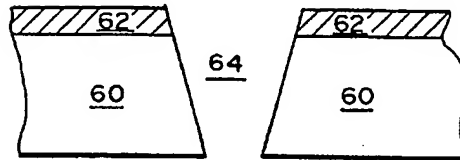


FIG. 7B

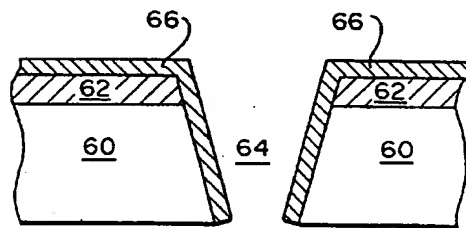


FIG. 7C

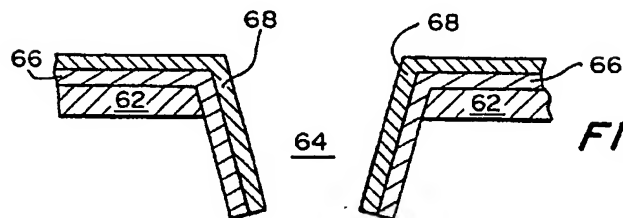


FIG. 7D

6/19

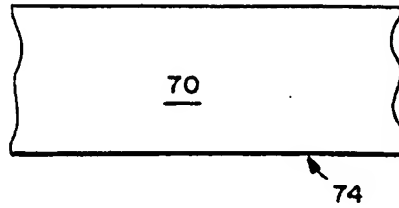


FIG. 8A

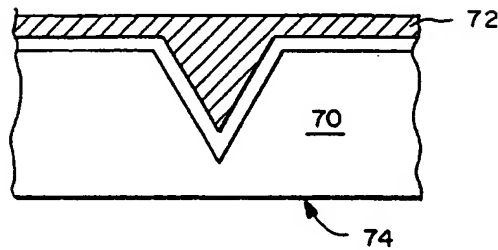


FIG. 8B

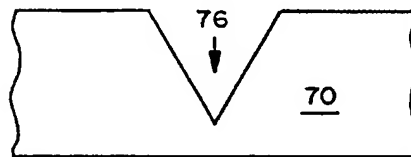


FIG. 8C

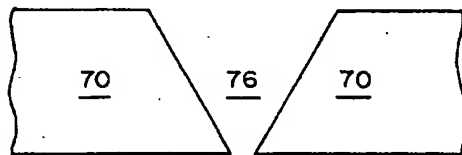


FIG. 8D

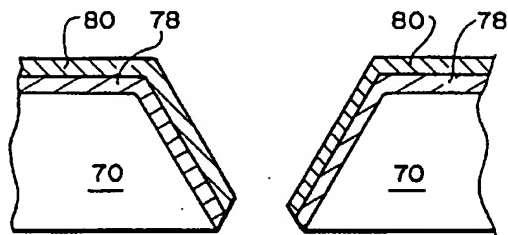


FIG. 8E

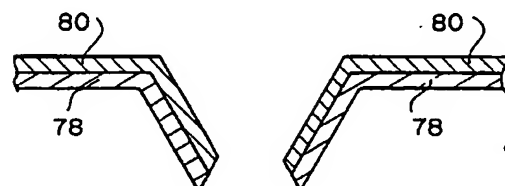
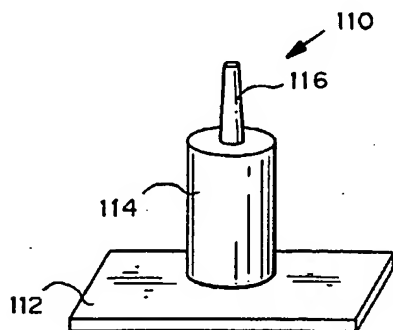
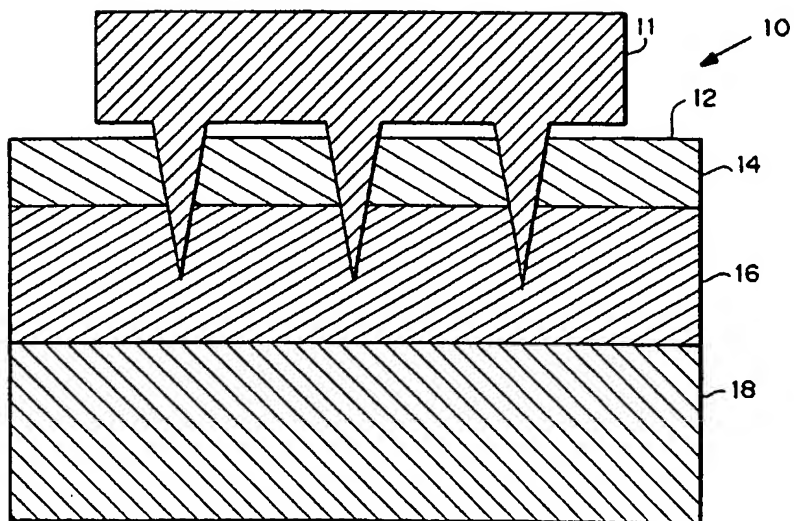


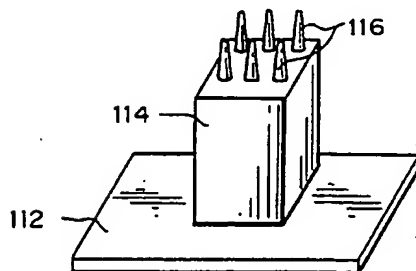
FIG. 8F

7/19

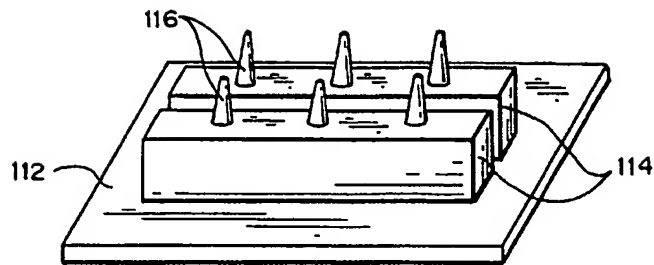
**FIG. 9**



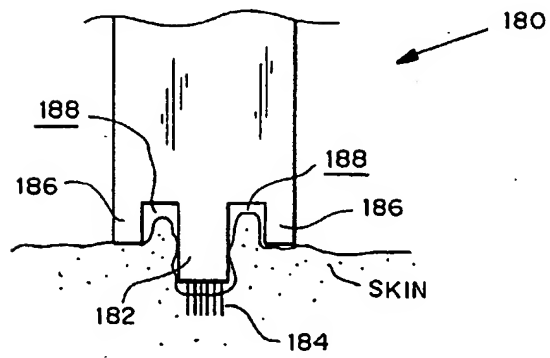
**FIG. 10A**



**FIG. 10B**

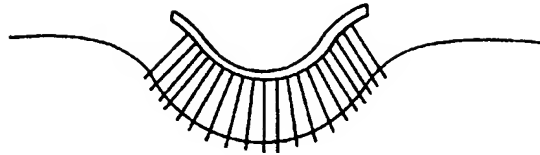


**FIG. 10C**

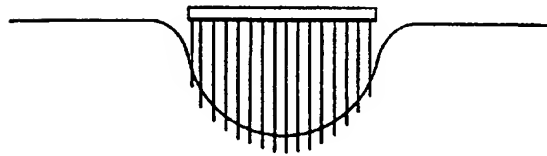


**FIG. 10D**

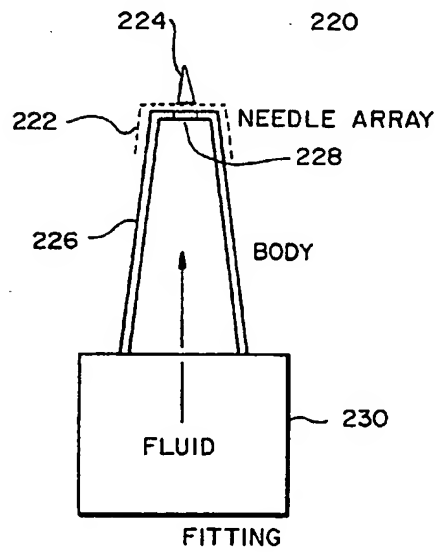
**FIG. 11A**



**FIG. 11B**

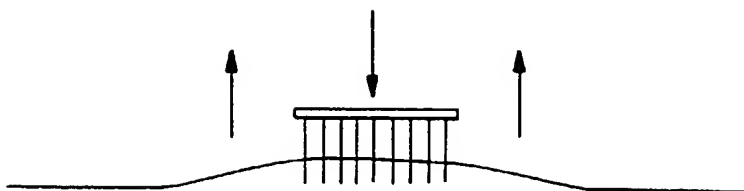


**FIG. 11C**





10/19



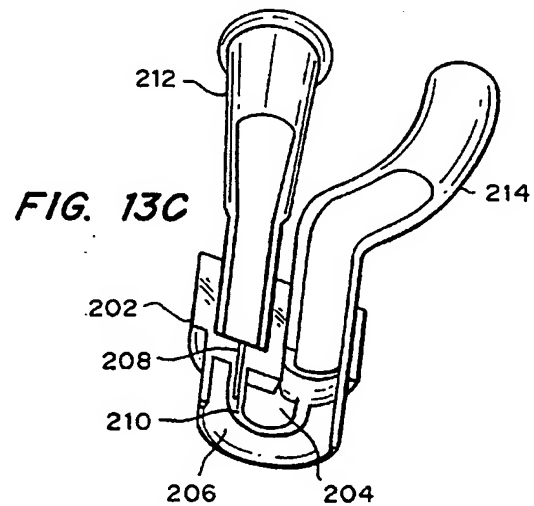
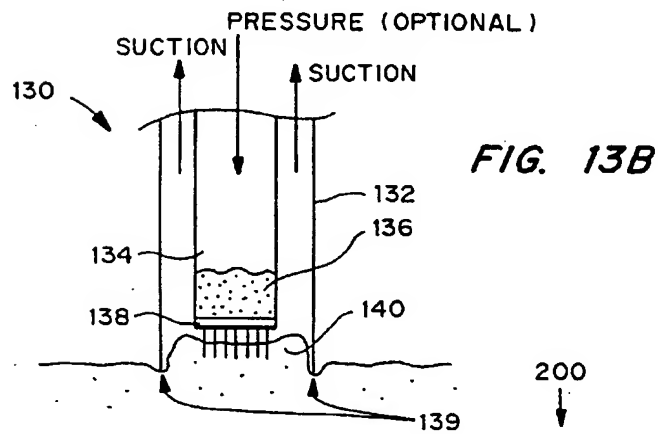
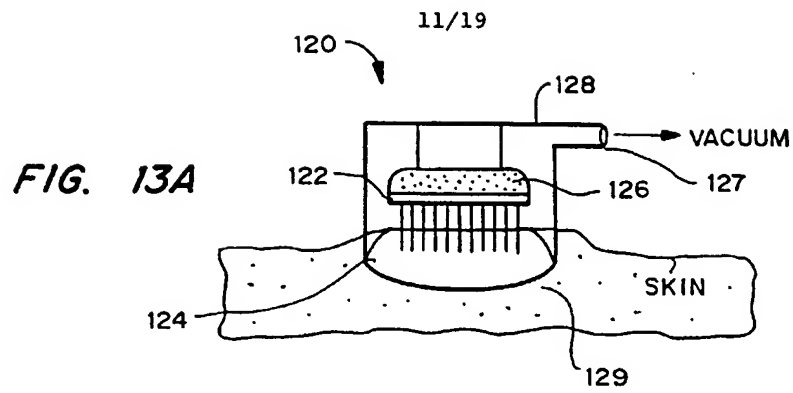
*FIG. 12A*



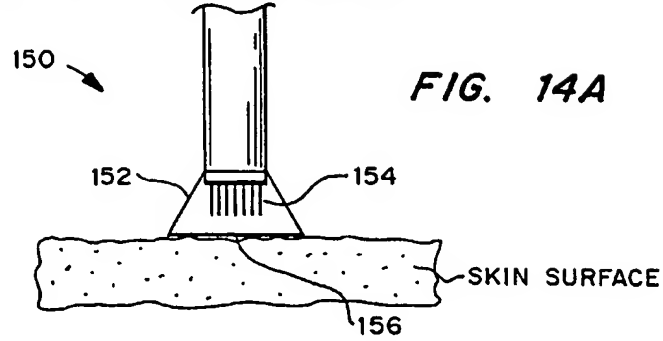
*FIG. 12B*



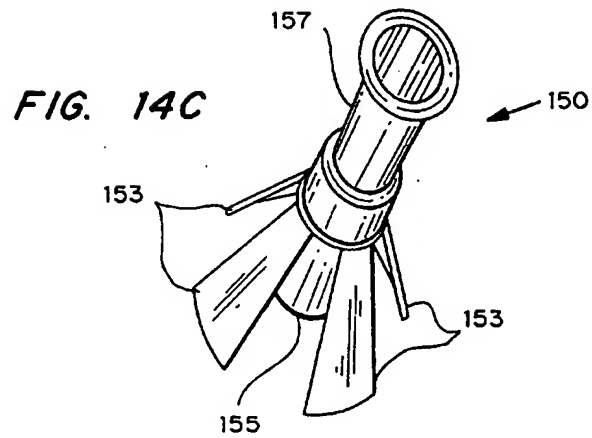
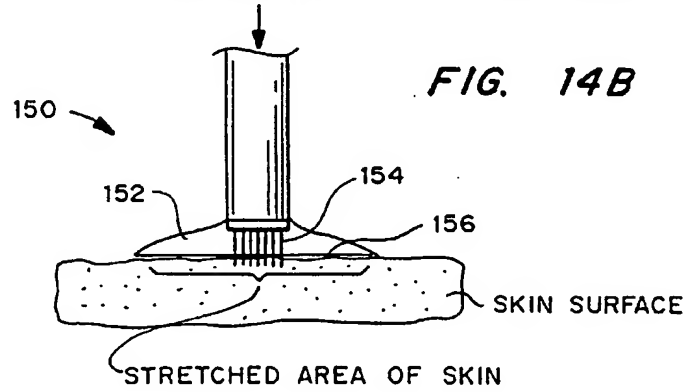
*FIG. 12C*



12/19  
DEVICE RESTING ON SKIN SURFACE

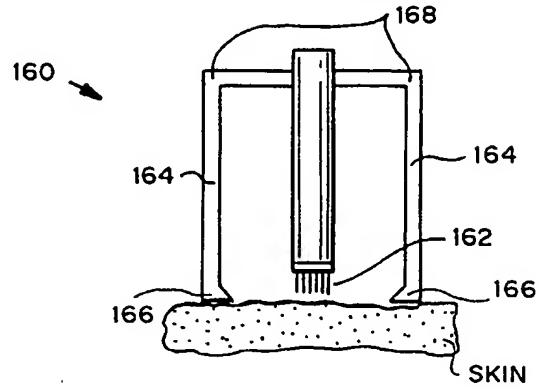


FORCE APPLIED TO MICRONEEDLE / STRETCHING DEVICE



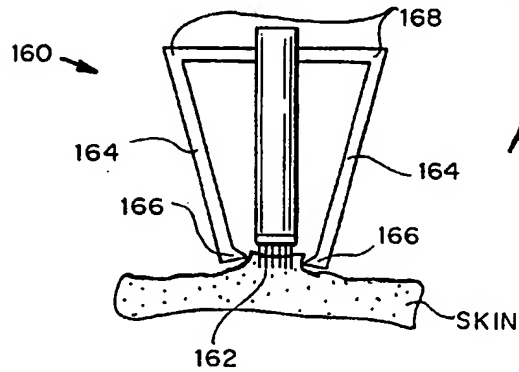
13/19

DEVICE RESTING ON SKIN SURFACE - JAWS OPEN

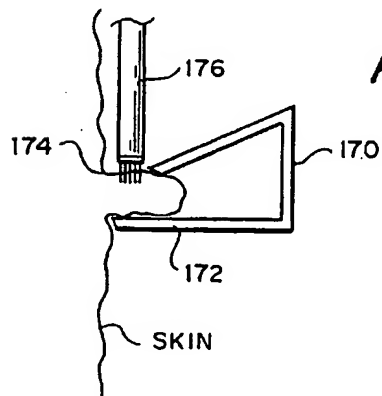


**FIG. 15A**

DEVICE PINCHING SKIN - JAWS CLOSED



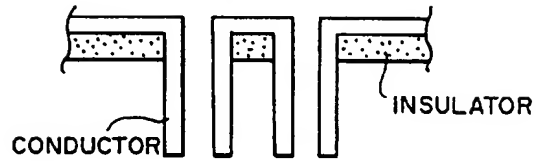
**FIG. 15B**



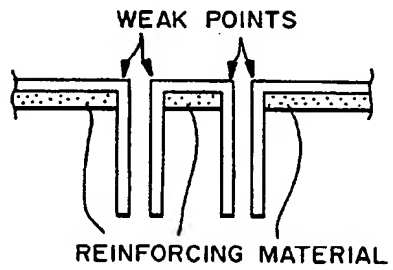
**FIG. 15C**

14/19

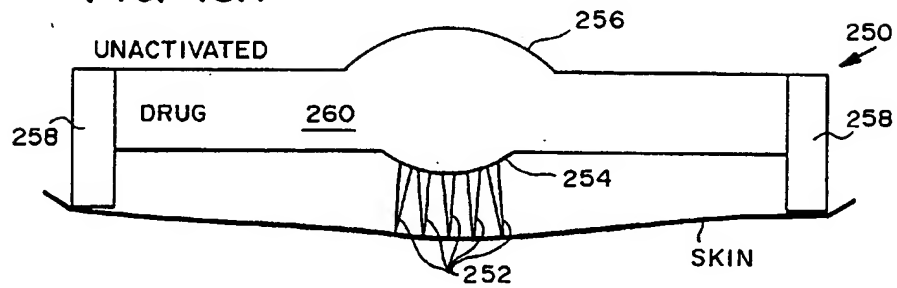
**FIG. 16**



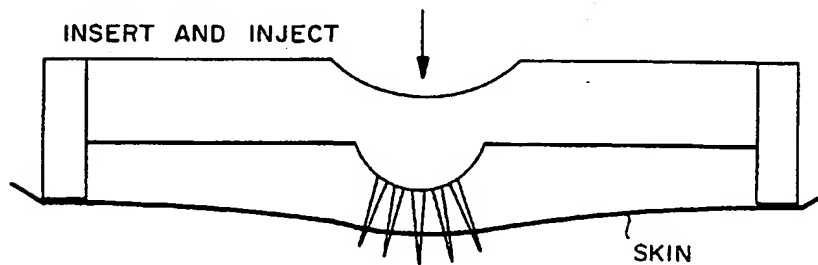
**FIG. 17**

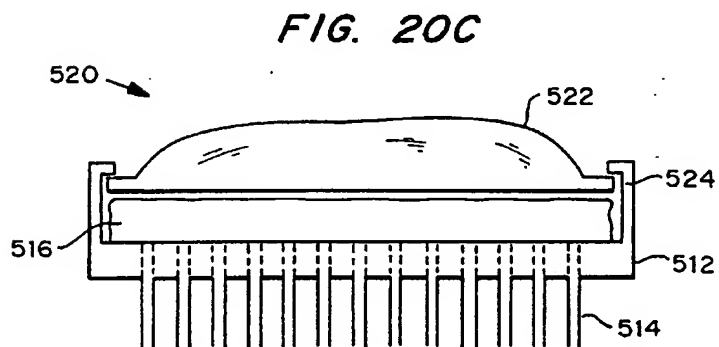
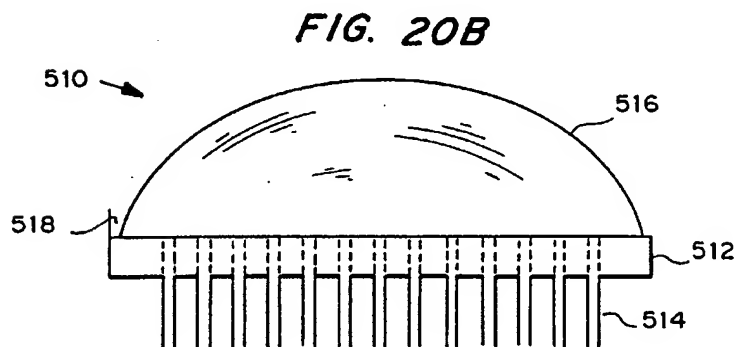
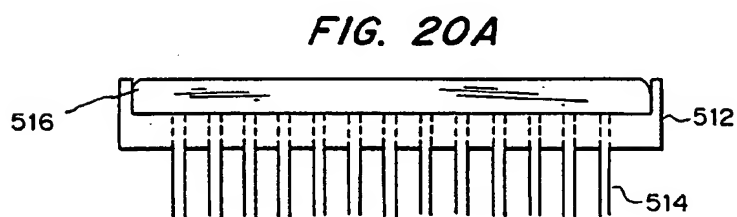
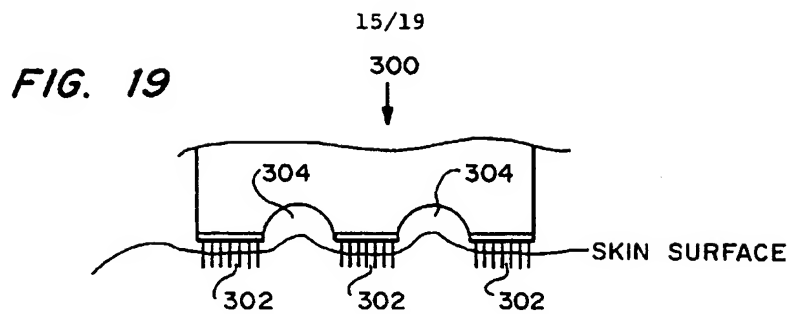


**FIG. 18A**



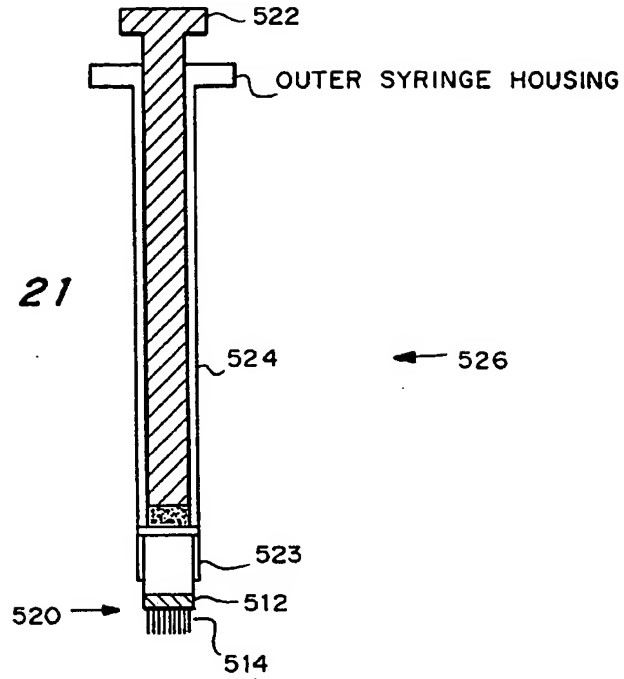
**FIG. 18B**



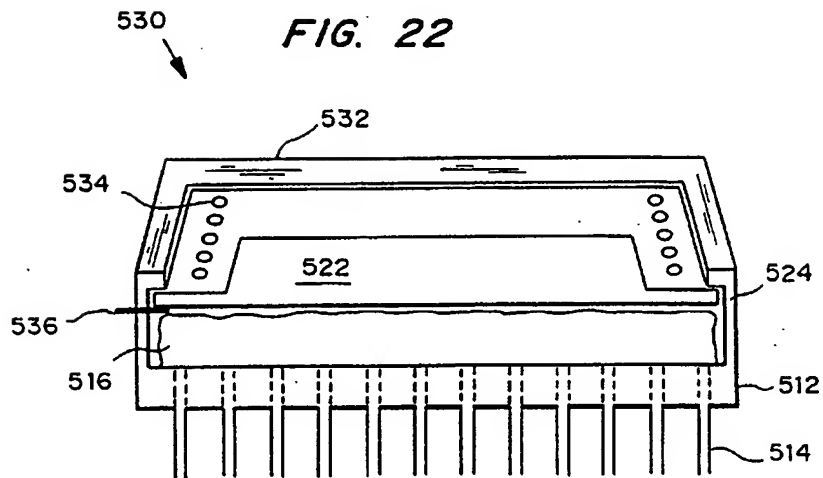


16/19

**FIG. 21**



**FIG. 22**



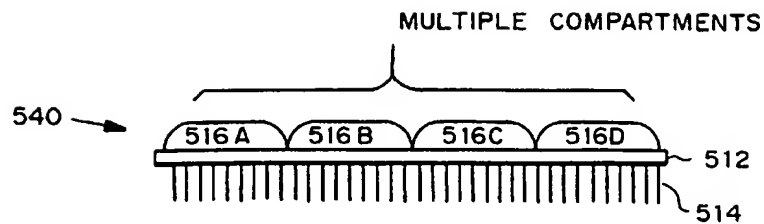


FIG. 23A

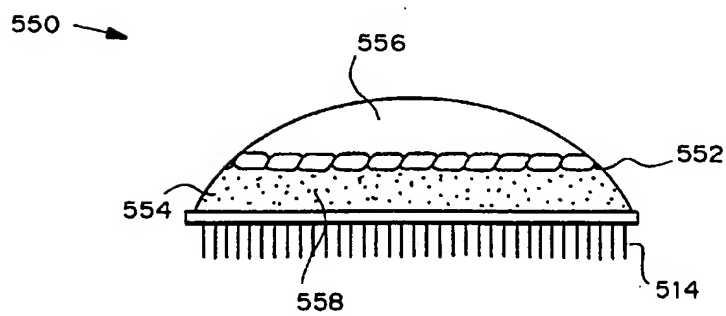
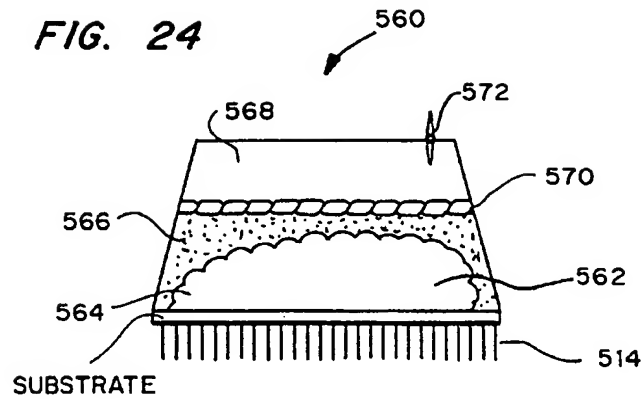


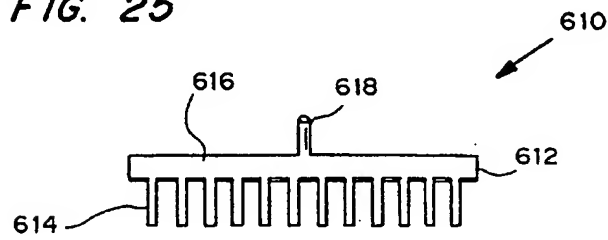
FIG. 23B



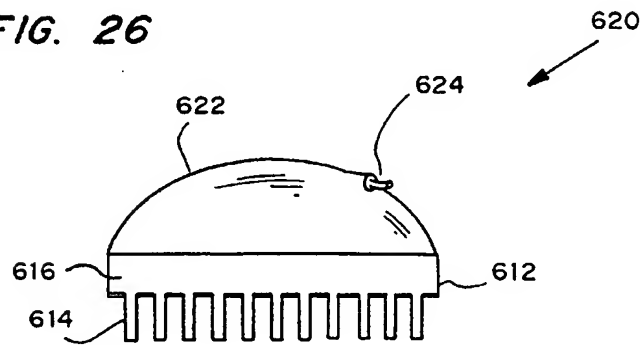
**FIG. 24**



**FIG. 25**



**FIG. 26**



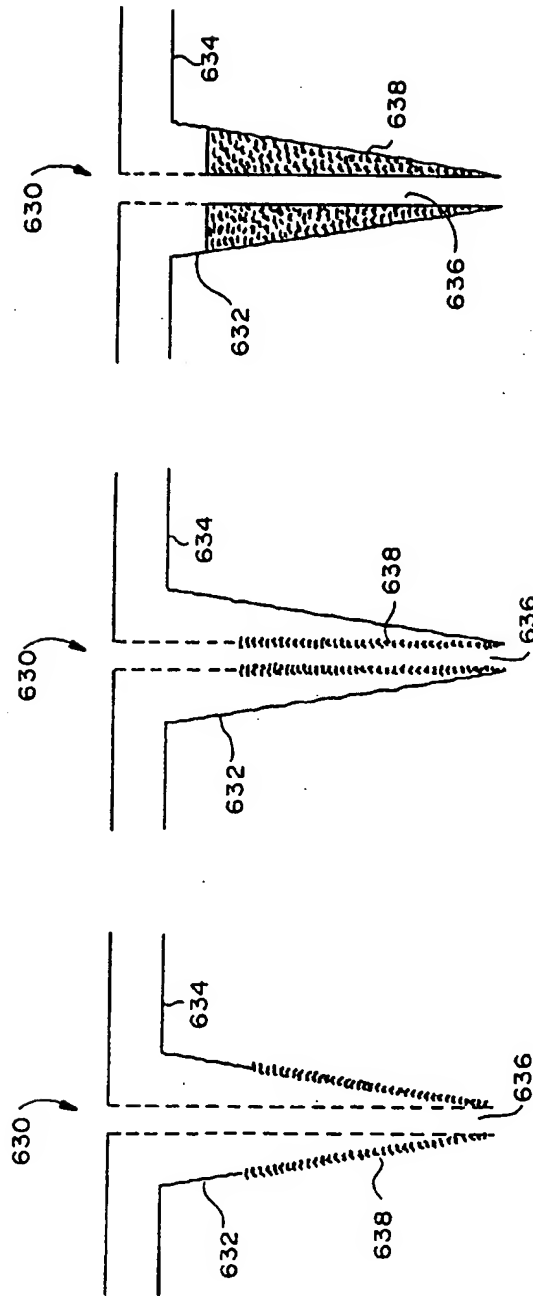


FIG. 27C

FIG. 27B

FIG. 27A

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**